Massanelli Stephen C Form 4 February 28, 2019

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

0.5

Estimated average burden hours per response...

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Massanelli Stephen C

2. Issuer Name and Ticker or Trading

Symbol

SIMMONS FIRST NATIONAL CORP [SFNC]

(Last) (First) (Middle)

(Month/Day/Year)

C/O SIMMONS FIRST NATIONAL 02/26/2019 CORP., 501 MAIN STREET

(Zip)

(Street)

(State)

4. If Amendment, Date Original

3. Date of Earliest Transaction

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify below)

below) **EVP** 

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

PINE BLUFF, AR 71601

(City)

**SFNC** 

**SFNC** 

**SFNC** 

**SFNC** 

1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Indirect Beneficial (Month/Day/Year) (Instr. 3, 4 and 5) Ownership (Instr. 8) Owned (I) (Instr. 4) (Instr. 4) Following Reported

(A) Transaction(s) (Instr. 3 and 4)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Code V Amount (D) Price

 $29,444 \stackrel{(5)}{=}$ 

4,700 D

3,200

300

D

D

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

1

#### Edgar Filing: Massanelli Stephen C - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number out Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	<u>(1)</u>	02/26/2019		A	3,436	(2)	(2)	Common	3,436
Performance Stock Unit	<u>(3)</u>	02/26/2019		A	6,872	<u>(4)</u>	<u>(4)</u>	Common	6,872

### **Reporting Owners**

Reporting Owner Name / Address	Relationships				
reporting 6 mar runner, runness	Director	10% Owner	Officer	Other	
Massanelli Stephen C					
C/O SIMMONS FIRST NATIONAL CORP.			EVP		
501 MAIN STREET			EVP		
PINE BLUFF, AR 71601					

## **Signatures**

/s/ Stephen C. Massanelli by Natalie
Gassiott

02/28/2019

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents a contingent right to receive one share of Simmons First National Corporation common stock.
  - 1,145 Restricted Stock Units vest on February 26, 2020; 1,145 Restricted Stock Units vest on February 26, 2021 and 1,146 Restricted
- (2) Stock Units vest on February 26, 2022. SFNC shares will be delivered within 30 days of vesting. Events such as retirement, death, disability and other specified events in the agreement may result in earlier vesting.
- (3) Each Performance Stock Unit represents a contingent right to receive one share of Simmons First National Corporation common stock.
- The shares listed are the maximum number of shares which may vest under this grant. Vesting will occur subsequent to the attainment of
- (4) performance goals set and verified by the Compensation Committee of the Company's Board of Directors for the performance period ending December 31, 2021, contingent upon the reporting person's continued employment with the registrant.
- (5) The share balance reflects the shares (split-adjusted) filed in Form 4/A on February 26, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

### Edgar Filing: Massanelli Stephen C - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.