## Edgar Filing: NEWMONT MINING CORP /DE/ - Form 4

NEWMONT MINING CORP /DE/ Form 4 November 08, 2004

November 0	8, 2004									
FORM	14 UNITED	STATES SECU	IRITIES A	AND EX(	<b>THA</b>	NGE C	OMMISSION		PROVAL	
Chaola th			ashington					OMB Number:	3235-0287 January 31,	
Check the if no long	ger STATEN	ox STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF								
subject to Section 1 Form 4 o Form 5 obligation may cont	6. r Filed pur ns Section 17(	suant to Section a) of the Public	Section 16(a) of the Securities Exchange Act of Public Utility Holding Company Act of 1935					Estimated average burden hours per response		
See Instru 1(b).	action	30(h) of the	Investment	: Compan	y Act	t of 194(	)			
(Print or Type I	Responses)									
1. Name and A KARRAS D	ddress of Reporting DONALD G	Symbo				0	5. Relationship of Issuer	Reporting Pers	con(s) to	
			NEWMONT MINING CORP /DE/ [NEM]				(Check all applicable)			
(Last) (First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year)				Director 10% Owner X_ Officer (give title Other (specify below) below)			
1700 LINC	OLN STREET	11/04	11/04/2004				Vice President			
DENVER, O	(Street)		nendment, Day/Yea	-			6. Individual or Jo Applicable Line) _X_ Form filed by C Form filed by M	one Reporting Pe	rson	
							Person			
(City)			ble I - Non-I			-	iired, Disposed of		-	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, i any (Month/Day/Year	f Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common			Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock, \$1.60 par value	11/04/2004		М	3,120	A	\$ 39.94	22,171	D		
Common Stock, \$1.60 par value	11/04/2004		S	3,100	D	\$ 48.28	19,071	D		
Common Stock, \$1.60 par value	11/04/2004		S	20	D	\$ 48.2	19,051	D		

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Common Stock, \$1.60 par value	11/04/2004	М	4,000	A	\$ 31.75	23,051	D
Common Stock, \$1.60 par value	11/04/2004	S	4,000	D	\$ 48.23	19,051	D
Common Stock, \$1.60 par value	11/04/2004	М	5,250	A	\$ 28.84	24,301	D
Common Stock, \$1.60 par value	11/04/2004	S	4,914	D	\$ 48.15	19,387	D
Common Stock, \$1.60 par value	11/04/2004	S	336	D	\$ 48.23	19,051	D
Common Stock, \$1.60 par value	11/04/2004	М	7,857	A	\$ 28.32	26,908	D
Common Stock, \$1.60 par value	11/04/2004	S	5,000	D	\$ 48.16	21,908	D
Common Stock, \$1.60 par value	11/04/2004	S	1,900	D	\$ 48.27	20,008	D
Common Stock, \$1.60 par value	11/04/2004	S	957	D	\$ 48.22	19,051	D
Common Stock, \$1.60 par value	11/04/2004	М	15,000	A	\$ 18.19	34,051	D
Common Stock, \$1.60 par value	11/04/2004	S	9,800	D	\$ 48.21	24,251	D
	11/04/2004	S	5,000	D		19,251	D

Common Stock, \$1.60 par value					\$ 48.24	
Common Stock, \$1.60 par value	11/04/2004	S	200	D	\$ 48.23 19,051	D
Common Stock, \$1.60 par value	11/04/2004	М	3,125	A	\$ 22,176	D
Common Stock, \$1.60 par value	11/04/2004	S	3,125	D	\$ 48.2 19,051	D
Common Stock, \$1.60 par value	11/04/2004	М	1,562	A	\$ 23.99 20,613	D
Common Stock, \$1.60 par value	11/04/2004	S	655	D	\$ 48.2 19,958	D
Common Stock, \$1.60 par value	11/04/2004	S	243	D	\$ 19,715 48.22	D
Common Stock, \$1.60 par value	11/04/2004	S	364	D	\$ 19,351 48.23	D
Common Stock, \$1.60 par value	11/04/2004	S	300	D	\$ 48.25 <sup>19,051</sup>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V (A)	) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 39.94	11/04/2004		М	3,120	<u>(1)</u>	05/17/2005	Common Stock	3,120
Employee Stock Option (right to buy)	\$ 31.75	11/04/2004		М	4,000	(2)	11/18/2007	Common Stock	4,000
Employee Stock Option (right to buy)	\$ 28.84	11/04/2004		М	5,250	<u>(3)</u>	01/27/2008	Common Stock	5,250
Employee Stock Option (right to buy)	\$ 28.32	11/04/2004		М	7,857	<u>(4)</u>	05/19/2008	Common Stock	7,857
Employee Stock Option (right to buy)	\$ 18.19	11/04/2004		М	15,000	<u>(5)</u>	01/26/2009	Common Stock	15,000
Employee Stock Option (right to buy)	\$ 23.67	11/04/2004		М	3,125	<u>(6)</u>	11/12/2011	Common Stock	3,125
Employee Stock Option (right to buy)	\$ 23.99	11/04/2004		М	1,562	(7)	11/20/2012	Common Stock	1,562

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# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
KARRAS DONALD G 1700 LINCOLN STREET DENVER, CO 80203			Vice President				
Signatures							
Ardis Young, Assistant Secreta attorney-in-fact	ary, as		11/08/2004				
<u>**</u> Signature of Reporting P	erson		Date				

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vested in two equal installments on May 17, 1996 and 1997.
- (2) The option vested in four equal installments on November 18, 1998, 1999, 2000 and 2001.
- (3) The option vested in two equal installments on January 27, 1999 and 2000.
- (4) The option vested in four equal installments on May 19, 1999, 2000, 2001 and 2002.
- (5) The option vested in two equal installments on January 26, 2000 and 2001.
- (6) The option vest in four equal installments on November 12, 2002, 2003, 2004 and 2005.
- (7) The option vest in four equal installments on November 20, 2003, 2004, 2005 and 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.