Harvard Apparatus Regenerative Technology, Inc.

Form 4

November 17, 2015

FORM	ПЛ								OMB AF	PPROVAL		
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									OMB Number:	3235-0287		
Check th				σ,					Expires:	January 31,		
if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF								200				
subject to Section 16. Form 4 or						Estimated average burden hours per response 0.5						
Form 5 obligatio may cont See Instr 1(b).	ns Section 17(a	a) of the	Public Ut		ling Com	pany	Act of	e Act of 1934, 1935 or Section 0	·			
(Print or Type I	Responses)											
GREEN DAVID Symbol				Name and				5. Relationship of Reporting Person(s) to Issuer				
		Apparatu logy, Inc.		erativ	re	(Check all applicable)						
			3. Date of (Month/D	Earliest Tra	ansaction			_X_ Director Officer (give	Owner er (specify			
C/O HART, ROAD, SU	, 84 OCTOBER H ITE 11	HILL	11/17/20	•				below)	below)			
	(Street)			ndment, Dai	_			6. Individual or Jo Applicable Line)	int/Group Filir	ng(Check		
HOLLISTO	N, MA 01746		rned(Moi	iiii/Day/Tear)				_X_ Form filed by C Form filed by M				
(City)		(Zip)	Tabl	e I - Non-D	erivative S	Securi	ties Acq	Person uired, Disposed of	, or Beneficial	ly Owned		
1.Title of	2. Transaction Date	e 2A. Dee	med	3.	4. Securit	ies Ac	auired	5. Amount of	6. Ownership	7. Nature of		
Security (Month/Day/Year) Execution Date, if any (Month/Day/Year)			r, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)				Securities Beneficially	Form: Direct (D) or Indirect (I) (Instr. 4)				
				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(msu. 1)	(msu. 1)		
Common Stock	11/17/2015			P	25,000	A	\$ 1.34 (1)	313,259	D			
							<u> </u>			David		
Common Stock								75,000	I	Green 2013 Annuity Trust		
Common Stock								150,000	I	David Green		

2015-2

Annuity Trust, dated March 13, 2015

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
. 0	Director	10% Owner	Officer	Other		
GREEN DAVID C/O HART 84 OCTOBER HILL ROAD, SUITE 11 HOLLISTON, MA 01746	X					

### **Signatures**

/s/ Chad Porter, by power of attorney 11/17/2015

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

**(1)** 

Reporting Owners 2

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This transaction was executed in multiple trades at prices ranging from \$1.30 to \$1.37. The price reported above reflects the weighted average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

#### **Remarks:**

Exhibit 24. Exhibit List: Exhibit 24 - Limited Power of Attorney.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.