| Edgar Filing: | Harvard | Apparatus | Regenerative | Technology. | Inc. | Form 4 |
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Harvard Apparatus Regenerative Technology, Inc. Form 4 November 17, 2015

| | , 2015 | | | | | | | | | | |
|--|--|---|---------------------|-----------|-------------|--|-----------|-------------|--|--|--|
| FORM | 4 | | | TELEO | | | | | | - | PROVAL |
| | UNITED | STATES | | | | ND EXC D.C. 205 | | NGE C | COMMISSION | OMB Number: | 3235-0287 |
| Check thi | | | | | | | | | | Expires: | January 31, |
| if no longer subject to Section 16. STATEMENT OI | | | F CHAN | | | BENEFI TIES | CIAI | LOWI | NERSHIP OF | Estimated average burden hours per | |
| Form 4 or Form 5 | | | ~ • • | | | ~ · · | - | | | response | 0.5 |
| obligation may conti <i>See</i> Instru 1(b). | inue. Section 17 | (a) of the | | ility H | old | ing Com | pany | Act of | e Act of 1934, E 1935 or Section 40 | n | |
| (Print or Type R | Responses) | | | | | | | | | | |
| 1. Name and A GREEN DA | ddress of Reporting VID | Person <u>*</u> | Symbol | | | Ticker or T | | - | 5. Relationship of Issuer | Reporting Pers | son(s) to |
| | | | Harvard Technol | | | s Regene [HART] | erativ | e | (Chec | k all applicable |) |
| (Last) | (First) (| Middle) | 3. Date of (Month/D | | | insaction | | | X Director Officer (give | title Othe | Owner er (specify |
| C/O HART, ROAD, SUI | 84 OCTOBER 1 TE 11 | HILL | 11/17/20 | 015 | | | | | below) | below) | |
| | (Street) | | 4. If Ame | ndment, | Dat | e Original | | | 6. Individual or Jo | oint/Group Filir | g(Check |
| | | | Filed(Mon | | | U | | | Applicable Line) _X_ Form filed by C | - | rson |
| HOLLISTO | N, MA 01746 | | | | | | | | Person | | porting |
| (City) | (State) | (Zip) | Tabl | e I - Nor | n-De | erivative S | ecuri | ties Acq | uired, Disposed of | , or Beneficial | ly Owned |
| 1.Title of Security (Instr. 3) | 2. Transaction Dat (Month/Day/Year) | Fransaction Date 2A. Deemed onth/Day/Year) Execution Date, if any (Month/Day/Year) | | | ction 8) | 4. Securiti n(A) or Dis (Instr. 3, 4 | posed | of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | |
| | | | | Code | V | Amount | or (D) | Price \$ | (Instr. 3 and 4) | | |
| Common Stock | 11/17/2015 | | | Р | | 25,000 | А | 1.34 (1) | 313,259 | D | |
| Common Stock | | | | | | | | | 75,000 | I | David Green 2013 Annuity Trust |
| Common Stock | | | | | | | | | 150,000 | Ι | David Green 2015-2 |

| c c | | U | | 0,7 | | | | | |
|--|---|-----------------------------------|---|--|--------------------|-----------------------|---|---|--|
| | | | | | | | Tru date | ed rch 13, | |
| Reminder: Report on a separate line for each | class of securities bene | Person | s who re | spond to the | | | SEC 14 | | |
| | | require | d to resp s a curre | tained in thi ond unless ently valid O | the form | | (9-(|)2) | |
| | ivative Securities Acc , puts, calls, warrants | | | | Owned | | | | |
| 1. Title of Derivative2.3. Transaction Da (Month/Day/Year or ExerciseSecurity (Instr. 3)Price of Derivative Security | | Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Amor Unde Secur | le and int of rlying ities . 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
| | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |
| Reporting Owners | | | | | | | | | |

3

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| GREEN DAVID C/O HART 84 OCTOBER HILL ROAD, SUITE 11 HOLLISTON, MA 01746 | Х | | | | | | |
| Signatures | | | | | | | |
| /s/ Chad Porter, by power of attorney | 11/17/20 | 015 | | | | | |
| **Signature of Reporting Person | Date | | | | | | |
| Explanation of Responses: | | | | | | | |

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). **

(1)

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This transaction was executed in multiple trades at prices ranging from \$1.30 to \$1.37. The price reported above reflects the weighted average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

Remarks:

Exhibit 24. Exhibit List: Exhibit 24 - Limited Power of Attorney.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.