Edgar	Filing:	Harvard A	Apparatus	Regenerative	Technology,	Inc Fo	orm 4
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Harvard Apparatus Regenerative Technology, Inc. Form 4 June 01, 2015 FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB Washington, D.C. 20549 Check this box

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

if no longer

Section 16.

Form 4 or

Form 5

1(b).

subject to

1. Name and Robinson '	Address of Reporting Thomas H	Symbo Harva	uer Name <b>and</b> Ticker or Trading ol ard Apparatus Regenerative nology, Inc. [HART]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
REGENE	(First) ( VARD APPARAT RATIVE TECH., 3 R HILL ROAD, S	(Month FUS 05/29 84	e of Earliest Transaction h/Day/Year) 1/2015	X_ Director 10% Owner Officer (give title Other (specify below) below)			
HOLLIST	(Street) ON, MA 01746		mendment, Date Original Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip) Ta	able I - Non-Derivative Securities A	Acquired, Disposed of, or Beneficially Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code Disposed of (D)	5. Amount of Securities6. Ownership Form: Direct7. Nature of IndirectBeneficially Owned(D) or IndirectBeneficial OwnershipFollowing Following (Instr. 4)(Instr. 4)Reported Transaction(s) (Instr. 3 and 4)(Instr. 4)			
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.							

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

**OMB APPROVAL** 

Number:

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year	•		Amount o Securities 4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock option (right to buy)	\$ 1.84	05/29/2015		А	25,000	05/29/2016 <u>(1)</u>	05/29/2025	Common Stock, par value \$0.01 per share	25,000

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
		10% Owner	Officer	Other	
Robinson Thomas H C/O HARVARD APPARATUS REGENERATIVE TECH. 84 OCTOBER HILL ROAD, SUITE 11 HOLLISTON, MA 01746	Х				
Signatures					

/s/ Thomas H. 06/01/2015 Robinson

\*\*Signature of Reporting Date Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The option shares vest in full one year from the date of grant.

#### **Remarks:**

This form has been signed under power of attorney.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.