### Edgar Filing: MCNULTY MICHAEL B - Form 3

MCNULTY MICHAEL B

Form 3 June 09, 2009

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Statement MCNULTY MICHAEL B (Month/Day/Year) 05/20/2009 (Last) (First) (Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol TRANSGENOMIC INC [TBIO.OB]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

1747 WILD ROSE WAY

(Street)

(Check all applicable)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

\_X\_\_ Director 10% Owner Officer Other (give title below) (specify below)

Person Form filed by More than One

Reporting Person

MT VIEW, CAÂ 94043

1. Title of Security

(Instr. 4)

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

> Beneficially Owned (Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

Derivative

Security

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Expiration Exercisable Date

Amount or Title Number of Shares

Security: Direct (D) or Indirect

(I) (Instr. 5)

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Purchase Option	06/01/2010	05/20/2019	Common Stock (1)	5,000	\$ 0.45	D	Â
Purchase Option	06/01/2011	05/20/2019	Common Stock (1)	5,000	\$ 0.45	D	Â
Purchase Option	06/01/2012	05/20/2019	Common Stock (1)	5,000	\$ 0.45	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
1 8	Director	10% Owner	Officer	Other	
MCNULTY MICHAEL B 1747 WILD ROSE WAY MT VIEW, CA 94043	ÂΧ	Â	Â	Â	

## **Signatures**

/s/ Debra A. Schneider, POA for Michael B. McNulty

05/20/2009

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Common Stock, par value \$.01 per share of Transgenomic, Inc.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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