#### SUSSEX BANCORP

Form 4

December 23, 2016

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **BRANCA RICHARD** 

2. Issuer Name and Ticker or Trading Symbol

SUSSEX BANCORP [SBBX]

5. Relationship of Reporting Person(s) to Issuer

(Last)

(First)

(Middle)

(Zip)

(Month/Day/Year)

3. Date of Earliest Transaction

(Month/Day/Year) 12/23/2016

X\_ Director 10% Owner Other (specify Officer (give title

(Check all applicable)

below)

C/O SUSSEX BANCORP, 399 ROUTE 23

(Street)

4. If Amendment, Date Original

(Instr. 8)

A

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

FRANKLIN, NJ 07416

(City) (State) 1.Title of 2. Transaction Date 2A. Deemed

(Month/Day/Year)

12/23/2016

3. 4. Securities TransactionAcquired (A) or Execution Date, if Code Disposed of (D) 5. Amount of Securities Beneficially Owned

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

7. Nature of Ownership Indirect Beneficial Form: Ownership Direct (D) (Instr. 4)

(A) or

Following or Indirect Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4)

Code V Amount

61.03

(1)

(Instr. 3, 4 and 5)

(D) Price

\$0

by Director Deferred

11,656.62 Ι Compensation Agreement

Common Stock

Common

Stock

Security

(Instr. 3)

52.315.77

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**SEC 1474** (9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amoun	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securiti	ies	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	3 and 4)		Owne
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									1		
									Amount		
						Date	Expiration		or Jamahan		
						Exercisable	Date		Number		
				C + V	(A) (D)				of		
				Code V	(A) (D)			2	Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
BRANCA RICHARD C/O SUSSEX BANCORP 399 ROUTE 23 FRANKLIN, NJ 07416	X					

# **Signatures**

Linda Kuipers, 12/23/2016 Attorney-in-Fact

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares represent stock purchased pursuant to the Company's Amended and Restated Director Deferred Compensation Agreement due to the deferral of directors fees earned by this reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. lock; MARGIN-LEFT: 0pt; TEXT-INDENT: 0pt; LINE-HEIGHT: 1.25; MARGIN-RIGHT: 0pt" align="left">SEC Use Only

### Citizenship or Place of Organization

Delaware

#### Number of

Reporting Owners 2

Edgar Filing: 5055EX BANCORP - Form 4
Shares Beneficially Owned by Each Reporting Person With 5. Sole Voting Power
-0-
6. Shared Voting Power 1,651,844
7. Sole Dispositive Power -0-
8. Shared Dispositive Power 1,651,844
9. Aggregate Amount Beneficially Owned by Each Reporting Person 1,651,844
10. Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions) [ ]
11. Percent of Class Represented by Amount in Row (9) 5.5%
12. Type of Reporting Person (See Instructions) OO

# CUSIP No. 766559603

1.	I.R.S. Identification Nos. of above D. E. Shaw & Co., L.L.C.	persons (entities only)	
2.	Check the Appropriate Box if a Mo (a) [ ] (b) [ ]	ember of a Group (See Instructions)	
3.	SEC Use Only		
4.	Citizenship or Place of Organization Delaware	on	
Number of Shares Beneficially	5.	Sole Voting Power	
Owned by Each Reporting Person With		-0-	
	6.	<b>Shared Voting Power</b> 1,651,844	
	7.	Sole Dispositive Power -()-	
	8.	<b>Shared Dispositive Power</b> 1,651,844	
9.	<b>Aggregate Amount Beneficially Ov</b> 1,651,844	wned by Each Reporting Person	
10.	Check if the Aggregate Amount in	Row (9) Excludes Certain Shares (See Instructions)	
11.	Percent of Class Represented by Amount in Row (9) 5.5%		
12.	<b>Type of Reporting Person (See Ins</b>	tructions)	

# CUSIP No. 766559603

1.	Names of Reporting Persons I.R.S. Identification Nos. of above D. E. Shaw & Co., L.P. 13-3695715	persons (entities only)	
2.	Check the Appropriate Box if a M (a) [ ] (b) [ ]	ember of a Group (See Instructions)	
3.	SEC Use Only		
4.	Citizenship or Place of Organization Delaware	on	
Number of Shares Beneficially Owned by Each Reporting Person With	5.	Sole Voting Power	
	6.	<b>Shared Voting Power</b> 1,682,844	
	7.	Sole Dispositive Power -()-	
	8.	<b>Shared Dispositive Power</b> 1,682,844	
9.	<b>Aggregate Amount Beneficially Owned by Each Reporting Person</b> 1,682,844		
10.	Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)		
11.	Percent of Class Represented by Amount in Row (9) 5.6%		
12.	<b>Type of Reporting Person (See Ins</b> IA, PN	tructions)	

# CUSIP No. 766559603

1.	Names of Reporting Persons  I.R.S. Identification Nos. of above persons (entities only)  David E. Shaw			
2.	(a)	if a Member of a Group (See Instructions)  [ ] [ ]		
3.	SEC Use Only			
4.	Citizenship or Place of Orga United States	nnization		
Number of Shares Beneficially	5.	Sole Voting Power		
Owned by Each Reporting Person With		-0-		
	6.	Shared Voting Power 1,682,844		
	7.	Sole Dispositive Power -0-		
	8.	<b>Shared Dispositive Power</b> 1,682,844		
9.	<b>Aggregate Amount Beneficially Owned by Each Reporting Person</b> 1,682,844			
10.	Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)			
11.	Percent of Class Represented 5.6%	d by Amount in Row (9)		
12.	Type of Reporting Person (S IN	See Instructions)		

Item 1.	a)	Name of Issuer
Rigel Pharmaceuticals, Inc.	u)	Ivalle of Issuel
(b) 1180 Veterans Blvd. South San Francisco, CA 94080	Address of Issuer's	s Principal Executive Offices
Item 2.  (a) D. E. Shaw Composite Portfolios, D. E. Shaw & Co., L.L.C. D. E. Shaw & Co., L.P. David E. Shaw		ame of Person Filing
(b) The business address for each report 120 W. 45th Street, Tower 45, 39th New York, NY 10036	orting person is:	ess Office or, if none, Residence
Delaware.	ted liability company organized d partnership organized under th	Citizenship pany organized under the laws of the state of under the laws of the state of Delaware. he laws of the state of Delaware.
(d) Common Stock, \$0.001 par value	Title	of Class of Securities
766559603	<b>e</b> )	CUSIP Number
Item 3. If this statement is filed a:	oursuant to Rule 13d-1(b) or 1	3d-2(b) or (c), check whether the person filing
Not applicable		
Item 4. Ownership.		
As of July 31, 2007:		
(a) Amount beneficially owned:		
D. E. Shaw Composite Portfolios,	L.L.C.: 1,651,8	844 shares
D. E. Shaw & Co., L.L.C.:	This is	844 shares composed of 1,651,844 shares in the name of Shaw Composite Portfolios, L.L.C.

D. E. Shaw & Co., L.P.:

1,682,844 shares

This is composed of (i) 1,651,844 shares in the name of D. E. Shaw Composite Portfolios, L.L.C., (ii) 30,000 shares in the name of D. E. Shaw Valence Portfolios, L.L.C., and (iii) 1,000 shares that D. E. Shaw Valence Portfolios, L.L.C. has the right to acquire through the exercise of listed call options.

David E. Shaw:

1,682,844 shares

This is composed of (i) 1,651,844 shares in the name of D. E. Shaw Composite Portfolios, L.L.C., (ii) 30,000 shares in the name of D. E. Shaw Valence Portfolios, L.L.C., and (iii) 1,000 shares that D. E. Shaw Valence Portfolios, L.L.C. has the right to acquire through the exercise of listed call options.

(b) Percent of class:

D. E. Shaw Composite Portfolios, L.L.C.: 5.5% D. E. Shaw & Co., L.L.C.: 5.5% D. E. Shaw & Co., L.P.: 5.6% David E. Shaw: 5.6%

(c) Number of shares to which the person has:

Sole power to vote or to direct the vote:

D. E. Shaw Composite Portfolios, L.L.C.: -0- shares D. E. Shaw & Co., L.L.C.: -0- shares D. E. Shaw & Co., L.P.: -0- shares David E. Shaw: -0- shares

> (ii) Shared power to vote or to direct the vote:

1,651,844 shares D. E. Shaw Composite Portfolios, L.L.C.: D. E. Shaw & Co., L.L.C.: 1,651,844 shares D. E. Shaw & Co., L.P.: 1,682,844 shares David E. Shaw: 1,682,844 shares

> (iii) Sole power to dispose or to direct the disposition of:

D. E. Shaw Composite Portfolios, L.L.C.: -0- shares D. E. Shaw & Co., L.L.C.: -0- shares -0- shares D. E. Shaw & Co., L.P.: David E. Shaw: -0- shares

> Shared power to dispose or to direct the disposition of: (iv)

D. E. Shaw Composite Portfolios, L.L.C.: 1,651,844 shares D. E. Shaw & Co., L.L.C.: 1,651,844 shares D. E. Shaw & Co., L.P.: 1,682,844 shares David E. Shaw: 1,682,844 shares

David E. Shaw does not own any shares directly. By virtue of David E. Shaw's position as President and sole shareholder of D. E. Shaw & Co., Inc., which is the general partner of D. E. Shaw & Co., L.P., which in turn is the investment adviser of D. E. Shaw Composite Portfolios, L.L.C., and the managing member and investment adviser of D. E. Shaw Valence Portfolios, L.L.C., and by virtue of David E. Shaw's position as President and sole shareholder of D. E. Shaw & Co. II, Inc., which is the managing member of D. E. Shaw & Co., L.L.C., which in turn is the managing member of D. E. Shaw Composite Portfolios, L.L.C., David E. Shaw may be deemed to have the shared power to vote or direct the vote of, and the shared power to dispose or direct the disposition of, the 1,682,844 shares as described above constituting 5.6% of the outstanding shares and, therefore, David E. Shaw may be deemed to be the beneficial owner of such shares. David E. Shaw disclaims beneficial ownership of such 1,682,844 shares.

Item 5. Ownership of Five Percent or Less of a Class

Not Applicable

Item 6. Ownership of More than Five Percent on Behalf of Another

Person.

Not Applicable

Item 7. **Identification and Classification of the Subsidiary Which** 

**Acquired the Security Being Reported on By the Parent** 

**Holding Company or Control Person.** 

Not Applicable

Item 8. Identification and Classification of Members of the Group

Not Applicable

Item 9. Notice of Dissolution of Group

Not Applicable

#### Item 10. Certification

By signing below, each of D. E. Shaw Composite Portfolios, L.L.C., D. E. Shaw & Co., L.L.C., D. E. Shaw & Co., L.P., and David E. Shaw certify that, to the best of such reporting person's knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having such purposes or effect.

#### **SIGNATURE**

After reasonable inquiry and to the best of their knowledge and belief, the undersigned certify that the information set forth in this statement is true, complete and correct. Powers of Attorney, dated February 24, 2004, granted by David E. Shaw in favor of Anne Dinning, are attached hereto.

Dated: August 3, 2007

D. E. Shaw Composite Portfolios,L.L.C.By: D. E. Shaw & Co., L.L.C., as managing member

By: /s/ Anne Dinning
Anne Dinning
Managing Director

D. E. Shaw & Co., L.L.C.

By: <u>/s/ Anne Dinning</u>
Anne Dinning
Managing Director

D. E. Shaw & Co., L.P.

By: /s/ Anne Dinning
Anne Dinning
Managing Director

David E. Shaw

By: <u>/s/ Anne Dinning</u>
Anne Dinning
Attorney-in-Fact for David E.
Shaw