Edgar Filing: LOEB GARY - Form 4

LOEB GAR	Y										
Form 4											
September 2	28, 2017										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB AF	OMB APPROVAL		
CURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							COMMISSION	OMB Number:	3235-0287 January 31,		
Check this box if no longer											Expires:
subject to		EMENT O	F CHAN	GES IN BENEFICIAL OWNERSHIP O				NERSHIP OF	Estimated average		
Section 1	16.			SECURITIES					burden hours per		
Form 4 c			~ • •		~ .		_		response	0.5	
Form 5 obligatio	· · · · · · · · · · · · · · · · · · ·						•	e Act of 1934,			
may con See Instr 1(b).	tinue. Section 1			tility Holc vestment	•			f 1935 or Section 40	n		
(Print or Type l	Responses)										
LOEB GARY Symb			Symbol	2. Issuer Name and Ticker or Trading Symbol Achaogen Inc [AKAO]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			Achaog								
(Last)	(First)	(Middle)	3. Date of	f Earliest Tr	ansaction					,	
				onth/Day/Year)				Director	10% Owner		
	OGEN, INC.,	1 TOWER	09/26/2	017				XOfficer (give below)	below)	er (specify	
PLACE #30	00							Ger	neral Counsel		
			4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check					
			Filed(Mor	l(Month/Day/Year)				Applicable Line)			
~ ~ ~ ~ ~ ~ ~ ~ ~ ~								_X_Form filed by C Form filed by M	1 0		
SOUTH SA								Person	iore than one Re	porting	
FRANCISC	CO, CA 94080										
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Securi	ties Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date any (Month/Day/Year)			Code (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Common	00/06/0017			$\mathbf{q}(1)$	1.1.40	D	\$	12 222 (2)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

09/26/2017

Stock

S(1)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

13,333 <u>(2)</u>

D

15.99

1,146 D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Unde Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
LOEB GARY							
C/O ACHAOGEN, INC.			General				
1 TOWER PLACE #300			Counsel				
SOUTH SAN FRANCISCO, CA 94080							
Signatures							
/s/ Pattie Chiang, Attorney-in-Fact for Gar Loeb	ry	09/28/2017					
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were sold solely to satisfy tax or other government withholding obligations in connection with the vesting of Restricted Stock Units of the Issuer.
- (2) Includes 10,800 Restricted Stock Units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.