Edgar Filing: Interactive Brokers Group, Inc. - Form 4

Interactive Brokers Group, Inc. Form 4

11/03/2015

Stock

Stock

Class A

Common

Class A

Common

November 04	4, 2015										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION						OMB APPROVAL					
	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287		
Check the if no long subject to Section 1 Form 4 o	ser STATEN .6.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								January 31, 2005 iverage rs per 0.5	
Form 5 obligation may cont <i>See</i> Instru 1(b).	ns Section 17((a) of the H	Public Ut	tility Hol		npan	y Act of	e Act of 1934, 1935 or Section 0	response n		
(Print or Type I	Responses)										
Galik Milan Syn			Symbol Interacti		d Ticker or ers Grouj		-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			[IBKR]					(enec	n un uppriouore	·)	
(M			(Month/D	3. Date of Earliest Transaction (Month/Day/Year) 11/02/2015				X Director 10% Owner X Officer (give title Other (specify below) below) President			
				nendment, Date Original Ionth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
GREENWI	CH, CT 06830							Form filed by M Person	Iore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-l	Derivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)			n Date, if	Code (Instr. 3, 4 and 5) (Instr. 8)				Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Class A Common Stock	11/02/2015			S	4,925 (1)	D	\$ 42.18 (4)	58,691 <u>(2)</u>	Ι	By IBG Holdings LLC	
Class A Common	11/02/2015			S	1,587	D	\$ 42.53	57,104 <u>(2)</u>	I	By IBG Holdings	

S

42.42 50,592 (2)

727,001

I

D (3)

D

(5)

\$

(6)

(1)

(1)

6,512

LLC

LLC

By IBG

Holdings

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	ate	Amou Under Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secut Bene Owne Follo Repo Trans (Instr
				Code V	' (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Stock

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
Galik Milan ONE PICKWICK PLAZA GREENWICH, CT 06830	Х		President	
Signatures				
/s/ Raymond Bussiere as autho Galik	11/04/2015			

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by IBG Holdings LLC, in which the (1) reporting person has a pecuniary interest through his membership interest in IBG Holdings LLC that went into effect July 24, 2015.
- Represents number of securities owned by IBG Holdings LLC in which the Reporting Person has a pecuniary interest through his (2)membership interest in IBG Holdings LLC.
- (3) These shares of Class A Common Stock are owned directly by the reporting person and represent the aggregate number of shares of restricted stock from awards granted under the 2007 Stock Incentive Plan since its inception, less vested shares that were withheld for tax

Date

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purposes, or sold previously.

(4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$41.46 to \$42.45, inclusive. The reporting person undertakes to provide Interactive Brokers Group, Inc. (the "Company"), any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold

- at each price within the ranges set forth in footnotes (4) (6) to this Form 4.
 (5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$42.46 to \$42.68, inclusive.
- (6) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$42.09 to \$42.64, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.