### Edgar Filing: Interactive Brokers Group, Inc. - Form 4

Interactive Brokers Group, Inc. Form 4 September 10, 2015

Class A

Stock

Class A

Common

Common

09/09/2015

	0.0015									
September 1										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB	2235-0287		
Check th if no lon subject to Section Form 4 of Form 5 obligation may con	ger 5 STATEN 16. 50 Filed pur 5 Section 17(	<b>IENT OF CH</b> suant to Sectio a) of the Public	ANGES IN SECUR n 16(a) of the Utility Hole	BENEF ITIES e Securit ling Con	ICIA ies E	Exchang y Act of	1935 or Section	Number: Expires: Estimated a burden hou response	January 31, 2005 average rs per	
<i>See</i> Instr 1(b).		30(h) of the	e Investment	Compan	у Ас	ct of 194	0			
(Print or Type	Responses)									
			2. Issuer Name <b>and</b> Ticker or Trading Symbol Interactive Brokers Group, Inc. [IBKR]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) ONE PICKWICK PLAZA			3. Date of Earliest Transaction (Month/Day/Year) 09/08/2015				X Director 10% Owner X Officer (give title Other (specify below) President			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
GREENWI	CH, CT 06830						Person	fore than one Re	porting	
(City)	(State)	(Zip) 1	Cable I - Non-D	erivative	Secui	rities Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, any (Month/Day/Ye	Code	(Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock	09/08/2015		S	6,512 (1)	D	\$ 38.15 (4)	301,478 <u>(2)</u>	Ι	By IBG Holdings LLC	
Class A Common Stock	09/09/2015		S	6,128 (1)	D	\$ 38.54 (5)	295,350 <u>(2)</u>	Ι	By IBG Holdings LLC	

\$

(6)

39.06 295,164 (2)

727,001

Ι

D (3)

186 <u>(1)</u> D

S

By IBG

Holdings

LLC

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#### Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

### **Reporting Owners**

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
Galik Milan ONE PICKWICK PLAZA GREENWICH, CT 06830	Х		President	
Signatures				
/s/ Raymond Bussiere as autho Galik	09/10/2015			

## **Explanation of Responses:**

\*\*Signature of Reporting Person

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by IBG Holdings LLC, in which the reporting person has a pecuniary interest through his membership interest in IBG Holdings LLC that went into effect July 24, 2015.

Date

- (2) Represents number of securities owned by IBG Holdings LLC in which the Reporting Person has a pecuniary interest through his membership interest in IBG Holdings LLC.
- (3) These shares of Class A Common Stock are owned directly by the reporting person and represent the aggregate number of shares of restricted stock from awards granted under the 2007 Stock Incentive Plan since its inception, less vested shares that were withheld for tax

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purposes, or sold previously.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$37.77 to \$38.70, inclusive. The reporting person undertakes to provide Interactive Brokers Group, Inc. (the "Company"), any security holder of

- (4) to \$35.70, inclusive. The reporting person undertakes to provide interactive brokers croup, inc. (the Company ), any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each price within the ranges set forth in footnotes (4) (6) to this Form 4.
- (5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$38.01 to \$39.00, inclusive.
- (6) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$39.01 to \$39.08, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.