## Edgar Filing: Interactive Brokers Group, Inc. - Form 4

Interactive Brokers Group, Inc. Form 4

Stock

Class A

Common

09/02/2015

September 0	3, 2015														
FORM	14		~ ~ ~ ~ ~ ~	~~~~				~~~ .			OMB A	PPROVAL			
. •	SECURITIES AND EXCHANGE CO Washington, D.C. 20549					NGE C	COMMISSION	OMB Number:	3235-0287						
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Section 16. Form 4 or Section 17(a) of the Public Utility Holding Company 30(h) of the Investment Company A									AL OWNERSHIP OF Exchange Act of 1934, ny Act of 1935 or Section January 31, 2005 Estimated average burden hours per response 0.5						
(Print or Type ]	Responses)														
1. Name and Address of Reporting Person <u>*</u> Frank Thomas AJ			2. Issuer Name <b>and</b> Ticker or Trading Symbol Interactive Brokers Group, Inc. [IBKR]					-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
(Mo					Date of Earliest Transaction /onth/Day/Year) 9/01/2015					Director 10% Owner X Officer (give title Other (specify below) below) Chief Information Officer					
GREENWI	(Street) CH, CT (	, 		4. If Ame Filed(Mor			te Origina )	1		6. Individual or Jo Applicable Line) _X_ Form filed by O Form filed by M Person	One Reporting Pe	erson			
(City)	(State)	)	(Zip)	Tabl	e I - Noi	1-D	erivative	Secur	ities Aca	uired, Disposed of	. or Beneficial	lv Owned			
1.Title of Security (Instr. 3)		ransaction Date 2A. Deemed onth/Day/Year) Execution Date, any (Month/Day/Yea			Code (Instr. 3, 4 and 5) Year) (Instr. 8) (A) or					5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect			
Class A Common Stock	09/01/2	015			Code S	V	Amount 6,458 (1)	(D) D	Price \$ 38.61 (4)		I	By IBG Holdings LLC			
Class A Common Stock	09/01/2	015			S		54 <u>(1)</u>	D	\$ 39.24 (5)	327,404 <u>(2)</u>	I	By IBG Holdings LLC			
Class A Common	09/02/2	015			S		4,925	D	\$ 37.21	322,479 <u>(2)</u>	I	By IBG Holdings			

(6) \$

38.22

(1)

(1)

1,425 D

S

321,054 (2)

I

LLC

By IBG

Holdings

Stock					(7)			LLC
Class A Common Stock	09/02/2015	S	90 <u>(1)</u>	D	\$ 38.7 (8)	320,964 <u>(2)</u>	I	By IBG Holdings LLC
Class A Common Stock						309,161	D <u>(3)</u>	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	6. Date Exercisable and Expiration Date (Month/Day/Year)		Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
			Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

 

 Reporting Owner Name / Address
 Relationships

 Director
 10% Owner
 Officer
 Other

 Frank Thomas AJ ONE PICKWICK PLAZA GREENWICH, CT 06830
 V
 V
 Chief Information Officer

 Signatures
 V
 V
 V
 V

/s/ Raymond Bussiere as authorized signatory for Thomas AJ Frank

09/03/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by IBG Holdings LLC, in which the reporting person has a pecuniary interest through his membership interest in IBG Holdings LLC that went into effect July 24, 2015.
- (2) Represents number of securities owned by IBG Holdings LLC in which the Reporting Person has a pecuniary interest through his membership interest in IBG Holdings LLC.

These shares of Class A Common Stock are owned directly by the reporting person and represent the aggregate number of shares of(3) restricted stock from awards granted under the 2007 Stock Incentive Plan since its inception, less vested shares that were withheld for tax purposes, or sold previously.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$38.19 to \$39.18, inclusive. The reporting person undertakes to provide Interactive Brokers Group, Inc. (the "Company"), any security holder of

- (4) the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each price within the ranges set forth in footnotes (4) (8) to this Form 4.
- (5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$39.19 to \$39.24, inclusive.
- (6) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$36.67 to \$37.66, inclusive.
- (7) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$37.67 to \$38.66, inclusive.
- (8) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$38.67 to \$38.71, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.