#### Edgar Filing: Interactive Brokers Group, Inc. - Form 4

Interactive Brokers Group, Inc. Form 4 December 20, 2013

December 20	0, 201	3											
FORM 4 UNITED STATES SECUL											OMB APPROVAL		
UNITED STATES SECU					VRITIES AND EXCHANGE C ashington, D.C. 20549					COMMISSION	OMB Number:	3235-0287	
Check th if no long											Expires:	January 31, 2005	
subject to Section 1 Form 4 o	5 16.	STATEMENT OF CHANGES IN BENEFICIAL OWN SECURITIES								NERSHIP OF	Estimated a burden hou response	average rs per	
Form 5 obligatio may cont <i>See</i> Instru 1(b).	tinue.	-	7(a) of the		tility H	Iold	ling Con	npan	y Act of	e Act of 1934, E 1935 or Section 40	n		
(Print or Type I	Respon	ses)											
Nemser Earl H Symb			Symbol						5. Relationship of Reporting Person(s) to Issuer				
				[IBKR]	nteractive Brokers Group, Inc. IBKR]					(Check all applicable)			
(Mon					te of Earliest Transaction th/Day/Year) 8/2013					X Director 10% Owner X Officer (give title Other (specify below) below) Vice Chairman			
CDEENWI	,	Street)		4. If Ame Filed(Mor			te Origina)	1		<ul> <li>6. Individual or Jo</li> <li>Applicable Line)</li> <li>_X_ Form filed by C</li> <li> Form filed by M</li> </ul>	One Reporting Pe	erson	
GREENWI	CH, C	.1 06830								Person		1 0	
(City)	(5	State)	(Zip)	Tabl	e I - No	on-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any (Month/Day/Year)			on Date, if	Code (Instr. 3, 4 and 5)					5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Jacta 2 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Class A Common Stock	12/1	8/2013			Code S	v	Amount 7,737 (1)	(D) D	Price \$ 24.12 (4)	(Instr. 3 and 4) 308,721 (2)	I	By IBG Holdings LLC	
Class A Common Stock	12/1	9/2013			S		7,357 (1)	D	\$ 24.45 (5)	301,364 <u>(2)</u>	I	By IBG Holdings LLC	
Class A Common										86,673	D <u>(3)</u>		

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Nemser Earl H ONE PICKWICK PLAZA GREENWICH, CT 06830	Х		Vice Chairman					
Signatures								

## Signatures

/s/ Raymond Bussiere as authorized signatory for Earl H. 12/20/2013 Nemser \*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by IBG Holdings LLC, in which the (1) reporting person has a pecuniary interest through his membership interest in IBG Holdings LLC, that went into effect November 18, 2013.
- Represents number of securities owned by IBG Holdings LLC in which the Reporting Person has a pecuniary interest through his (2)membership interest in IBG Holdings LLC.
- These shares of Class A Common Stock are owned directly by the reporting person and represent the aggregate number of shares of (3) restricted stock from awards granted under the 2007 Stock Incentive Plan since its inception, less vested shares that were withheld for tax
- purposes, or sold previously.
- (4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$23.92 to \$24.38, inclusive. The reporting person undertakes to provide Interactive Brokers Group, Inc. (the "Company"), any security holder of

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the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each price within the ranges set forth in footnotes (4) and (5) to this Form 4.

(5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$24.27 to \$24.76, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.