Interactive Brokers Group, Inc.

Form 4

December 04, 2013

**OMB APPROVAL** 

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box

if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Add<br>Nemser Earl H | •           | ng Person * | 2. Issuer Name <b>and</b> Ticker or Trading Symbol  | 5. Relationship of Reporting Person(s) to Issuer         |  |  |  |
|----------------------------------|-------------|-------------|---|--|--|--|--|
|                                  |             |             | Interactive Brokers Group, Inc. [IBKR]  | (Check all applicable)                                   |  |  |  |
| (Last)                           | (First)     | (Middle)    | 3. Date of Earliest Transaction (Month/Day/Year)  | X_ Director 10% Owner Street Owner Other (specify below) |  |  |  |
| ONE PICKWICK PLAZA               |             |             | 12/02/2013  | Vice Chairman  |  |  |  |
| (Street)                         |             |             | 4. If Amendment, Date Original  | 6. Individual or Joint/Group Filing(Check                |  |  |  |
| GREENWICH                        | I, CT 06830 |             | Filed(Month/Day/Year)  Applicable Line)  _X_ Form filed by One Reportir  _ Form filed by More than Or  Person |  |  |  |  |
| (City)                           | (State)     | (Zip)       | Table I - Non-Derivative Securities Ac  | quired, Disposed of, or Beneficially Owned               |  |  |  |

| (City)                               | (State) (                               | Table Table   | e I - Non-D | erivative    | Secur  | ities Acq                                     | uired, Disposed o                                     | f, or Beneficial | ly Owned                  |
|--------------------------------------|---|---|-------------|--------------|--|---|---|------------------|---------------------------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) |             |              | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |                  |                           |
|                                      |   |   | Code V      | Amount       | (A)<br>or<br>(D)   | Price   | Reported<br>Transaction(s)<br>(Instr. 3 and 4)        | (Instr. 4)       |                           |
| Class A<br>Common<br>Stock           | 12/02/2013                              |   | S           | 7,309<br>(1) | D  | \$<br>24.61<br>(4)                            | 395,186 <u>(2)</u>                                    | I                | By IBG<br>Holdings<br>LLC |
| Class A<br>Common<br>Stock           | 12/03/2013                              |   | S           | 7,737<br>(1) | D  | \$<br>24.52<br>(5)                            | 387,449 (2)   | I                | By IBG<br>Holdings<br>LLC |
| Class A<br>Common<br>Stock           |   |   |             |              |  |   | 86,673  | D (3)            |                           |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | cisable and | 7. Title  | and      | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|-----------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | onNumber   | Expiration D  | ate         | Amoun     | it of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)       | Underly   | ying     | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |             | Securit   | ies      | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |               |             | (Instr. 3 | 3 and 4) |             | Own    |
|             | Security    |                     |                    |            | Acquired   |               |             |           |          |             | Follo  |
|             | ·           |                     |                    |            | (A) or     |               |             |           |          |             | Repo   |
|             |             |                     |                    |            | Disposed   |               |             |           |          |             | Trans  |
|             |             |                     |                    |            | of (D)     |               |             |           |          |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |               |             |           |          |             | Ì      |
|             |             |                     |                    |            | 4, and 5)  |               |             |           |          |             |        |
|             |             |                     |                    |            |            |               |             |           |          |             |        |
|             |             |                     |                    |            |            |               |             | 1         | Amount   |             |        |
|             |             |                     |                    |            |            | Date          | Expiration  |           | or       |             |        |
|             |             |                     |                    |            |            | Exercisable   | Date        |           | Number   |             |        |
|             |             |                     |                    |            |            |               |             |           | of       |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |               |             |           | Shares   |             |        |

## **Reporting Owners**

| Reporting Owner Name / Address                             | Relationships |           |               |       |  |  |  |  |
|--|---------------|-----------|---------------|-------|--|--|--|--|
| Troporting of the France of France of                      | Director      | 10% Owner | Officer       | Other |  |  |  |  |
| Nemser Earl H<br>ONE PICKWICK PLAZA<br>GREENWICH, CT 06830 | X             |           | Vice Chairman |       |  |  |  |  |

# **Signatures**

/s/ Raymond Bussiere as authorized signatory for Earl H.
Nemser 12/04/2013

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by IBG Holdings LLC, in which the reporting person has a pecuniary interest through his membership interest in IBG Holdings LLC, that went into effect November 18, 2013.
- (2) Represents number of securities owned by IBG Holdings LLC in which the Reporting Person has a pecuniary interest through his membership interest in IBG Holdings LLC.
- These shares of Class A Common Stock are owned directly by the reporting person and represent the aggregate number of shares of restricted stock from awards granted under the 2007 Stock Incentive Plan since its inception, less vested shares that were withheld for tax purposes, or sold previously.
- (4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$24.28 to \$24.98, inclusive. The reporting person undertakes to provide Interactive Brokers Group, Inc. (the "Company"), any security holder of

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the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each price within the ranges set forth in footnotes (4) and (5) to this Form 4.

(5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$24.41 to \$24.80, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.