

SHAW JEROME

Form 4

April 09, 2018

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SHAW JEROME

2. Issuer Name **and** Ticker or Trading Symbol
VOLT INFORMATION SCIENCES, INC. [VISI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
03/18/2018

____ Director ____X____ 10% Owner
 ____ Officer (give title below) ____ Other (specify below)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 X Form filed by One Reporting Person
 ____ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$0.01 par value	03/18/2018		J	10,000 D \$ 0 0		I	By Spouse (1) (2)
Common Stock, \$0.01 par value	03/18/2018		J	1,052,583 D \$ 0 0		I	Co-Trustees (2) (3)
Common Stock, \$0.01 par value					1,401,547	I	Trust (4)

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Common Stock, \$0.01 par value	22,951	I	401K Plan
Common Stock, \$0.01 par value	2,394	I	ESOP Plan
Common Stock, \$0.01 par value	12,750	I	Family Foundation <u>(2)</u> <u>(5)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Pri Deriv Secur (Instr	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 6.39					<u>(6)</u>	04/06/2019	Common Stock, \$0.01 par value	8,000

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
	X

SHAW JEROME

Signatures

Michael Shaw, attorney-in-fact for Jerome
Shaw

04/09/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Upon the death of Reporting Person's spouse on 3/18/2018, the shares previously reported by Reporting Person are no longer owned by a member of Reporting Person's Immediate Family living with Reporting Person.
- (2) Reporting Person disclaims any beneficial ownership of these shares.
Upon the death of Reporting Person's spouse on 3/18/2018, the trustees of this trust changed. This trust is for the benefit of Reporting Person's adult daughter not living with Reporting Person. Reporting Person is no longer a trustee of this trust. Reporting Person is not and was not a beneficiary of this trust.
- (3) Upon the death of Reporting Person's spouse on 3/18/2018, the trustees of this trust changed. Reporting Person is no longer a trustee of this trust. Reporting Person remains the beneficiary of this trust.
- (4) Held by a family foundation. Reporting Person is an officer and director of this foundation.
- (5) Option vests in 20% annual installments, on a cumulative basis, commencing 4/7/2010, one year after the date of the original grant of the option.
- (6)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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