## Edgar Filing: GILL PHUPINDER - Form 4

| GILL PHUPIND<br>Form 4<br>April 17, 2019   | DER   |  |            |                            |  |  |   |  |   |  |  |
|--|---|--|------------|----------------------------|--|--|---|--|---|--|--|
| FORM 4   | L   |  |            |                            |  |  |   |  | PPROVAL   |  |  |
|  | UNITED  | STATES                                     |            |                            |  |  | COMMISSION  | N OMB<br>Number:   | 3235-0287   |  |  |
| Washington, D.C. 20549Number:3235-026Check this box<br>if no longer<br>subject to<br>Section 16.STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIESHumber:Lanuary 3<br>200Statement of Section 16.SECURITIESStatement of Section 16(a) of the Securities Exchange Act of 1934,<br>section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940Statement of Securities Exchange Act of 1940I(b).Statement Company Act of 1940Statement Company Act of 1940Statement of Securities Exchange Act of 1940 |   |  |            |                            |  |  |   |  |   |  |  |
| (Print or Type Respo   | onses)  |  |            |                            |  |  |   |  |   |  |  |
| 1. Name and Address of Reporting Person <u>*</u><br>GILL PHUPINDER   |   |  | Symbol     | er Name <b>an</b><br>MIDWE |  | r Trading CORP INC                         | <ul><li>5. Relationship of Reporting Person(s) to<br/>Issuer</li><li>C (Check all applicable)</li></ul> |  |   |  |  |
| <b></b>  | [FMBI] (Check   |  |            |                            |  |  |   |  |   |  |  |
| (Last)<br>8750 WEST BR<br>AVENUE, SUI  |   | of Earliest T<br>Day/Year)<br>2019         | ransaction |                            | X_Director10% Owner<br>Officer (give titleOther (specify<br>below) below)  |  |   |  |   |  |  |
| CHICAGO, IL (  | 4. If Amendment, Date Original<br>Filed(Month/Day/Year) |  |            |                            | <ul> <li>6. Individual or Joint/Group Filing(Check<br/>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul> |  |   |  |   |  |  |
| (City)   | (State)   | (Zip)                                      |            |                            | ~ • •  | ~ • • •                                    | Person  |  |   |  |  |
|  |   |  |            |                            | Derivative<br>4. Securit   |  | cquired, Disposed   |  | •   |  |  |
|  | ransaction Date<br>nth/Day/Year)                        | 2A. Deema<br>Execution<br>any<br>(Month/Da | Date, if   | Code                       | onAcquired<br>Disposed<br>(Instr. 3, 4   | (A) or<br>of (D)                           | Securities<br>Beneficially<br>Owned   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Reminder: Report of  | n a separate line                                       | for each cl                                | ass of sec | urities bene               | ficially ow  | ned directly of                            | or indirectly.  |  |   |  |  |
|  |   |  |            |                            | inforn<br>requi  | nation cont<br>red to respe<br>ays a curre | spond to the colle<br>ained in this form<br>ond unless the fo<br>ntly valid OMB co                      | n are not<br>rm  | SEC 1474<br>(9-02)  |  |  |
|  | Tab   |  |            |                            |  | sposed of, or<br>convertible :             | Beneficially Owner<br>securities)   | 1  |   |  |  |

| 1. Title of | 2.         | 3. Transaction Date | 3A. Deemed         | 4.        | 5. Number | 6. Date Exercisable and | 7. Title and Amount of | 8. Price  |
|-------------|------------|---------------------|--------------------|-----------|-----------|-------------------------|------------------------|-----------|
| Derivative  | Conversion | (Month/Day/Year)    | Execution Date, if | Transacti | onof      | Expiration Date         | Underlying Securities  | Derivativ |

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| Security<br>(Instr. 3)                                       | or Exercise<br>Price of<br>Derivative<br>Security |            | any<br>(Month/Day/Year) | Code<br>(Instr. | 8) | Derive<br>Secur<br>Acqui<br>(A) or<br>Dispo<br>of (D)<br>(Instr.<br>and 5) | ities<br>ired<br>sed<br>3, 4, | (Month/Day,         | ay/Year) (Instr. 3 and 4 |                 | 4)                                     | Security<br>(Instr. 5) |
|--|---|------------|-------------------------|-----------------|----|--|-------------------------------|---------------------|--------------------------|-----------------|--|------------------------|
|  |   |            |                         | Code            | V  | (A)  | (D)                           | Date<br>Exercisable | Expiration<br>Date       | Title           | Amount<br>or<br>Number<br>of<br>Shares |                        |
| Phantom<br>Stock<br>Under<br>NQ<br>Deferred<br>Comp.<br>Plan | <u>(1)</u>  | 04/15/2019 |                         | А               |    | 796  |                               | <u>(1)</u>          | <u>(1)</u>               | Common<br>Stock | 796                                    | \$ 21.1                |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>   | Relationships |            |         |       |  |  |  |
|---|---------------|------------|---------|-------|--|--|--|
|   | Director      | 10% Owner  | Officer | Other |  |  |  |
| GILL PHUPINDER<br>8750 WEST BRYN MAWR AVENUE<br>SUITE 1300<br>CHICAGO, IL 60631 | Х             |            |         |       |  |  |  |
| Signatures  |               |            |         |       |  |  |  |
| Andrea L. Stangl, Attorney-in-fact for Pl<br>S. Gill                            |               | 04/17/2019 |         |       |  |  |  |
| <u>**</u> Signature of Reporting Person   |               |            | Date    |       |  |  |  |
|   |               |            |         |       |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents shares of phantom stock acquired pursuant to the First Midwest Bancorp, Inc. Deferred Compensation Plan for Nonemployee
   Directors (the "Plan") as a result of the deferral of quarterly directors fees paid in cash to the participant. The shares of phantom stock represent shares of First Midwest Bancorp, Inc. common stock on a 1-for-1 basis and are payable in cash upon distribution to the participant in accordance with the terms of the Plan.

Between January 9, 2019 and April 9, 2019, the Reporting Person acquired 148 shares of First Midwest Bancorp, Inc. common stock
(2) pursuant to the dividend reinvestment feature under the Plan. The information in this report is based on a plan statement dated April 9, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.