BENET JAY S Form 4

February 22, 2019

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Expires:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, 2005

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response... 0.5

Estimated average

**OMB APPROVAL** 

See Instruction

1(b).

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

BENET JAY S

Symbol

(Check all applicable)

TRAVELERS COMPANIES, INC.

[TRV]

Vice Chairman

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner X\_ Officer (give title \_ Other (specify below)

THE TRAVELERS COMPANIES. INC., 385 WASHINGTON STREET

(Street)

02/20/2019

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

ST. PAUL, MN 55102

| (City)                               | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |   |           |              |  |   |   |  |  |
|--------------------------------------|---|--|---|---|-----------|--------------|--|---|---|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities A orDisposed of (E (Instr. 3, 4 and | )         | d (A) or     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
|                                      |   |  | Code V                                  | Amount  | or<br>(D) | Price        | Transaction(s) (Instr. 3 and 4)  | (Instr. 4)                                    |   |  |  |
| Common<br>Stock                      | 02/20/2019                              |  | A <u>(1)</u>                            | 17,398.263  | A         | \$0          | 93,998.48  | D   |   |  |  |
| Common<br>Stock                      | 02/20/2019                              |  | F                                       | 8,132   | D         | \$<br>129.56 | 85,866.48  | D   |   |  |  |
| Common<br>Stock                      |   |  |   |   |           |              | 877.585  | I   | 401(k)<br>Plan  |  |  |
| Common<br>Stock                      |   |  |   |   |           |              | 16,191   | I   | In Trusts   |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Edgar Filing: BENET JAY S - Form 4

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of           |             | 3. Transaction Date     |                    | 4.          | 5.         | 6. Date Exerc |                 | 7. Title     |        | 8. Price of | 9. Nu  |
|-----------------------|-------------|-------------------------|--------------------|-------------|------------|---------------|-----------------|--------------|--------|-------------|--------|
| Derivative Conversion |             | ersion (Month/Day/Year) | Execution Date, if | Transaction | onNumber   | Expiration D  | Expiration Date |              | nt of  | Derivative  | Deriv  |
| Security              | or Exercise |                         | any                | Code        | of         | (Month/Day/   | Year)           | Under        | lying  | Security    | Secui  |
| (Instr. 3)            | Price of    |                         | (Month/Day/Year)   | (Instr. 8)  | Derivative | e             |                 | Securi       | ties   | (Instr. 5)  | Bene   |
|                       | Derivative  |                         | •                  | Securities  |            |               | (Instr.         | 3 and 4)     |        | Own         |        |
|                       | Security    |                         |                    | Acquired    |            |               |                 |              |        | Follo       |        |
|                       | ·           |                         |                    |             | (A) or     |               |                 |              |        |             | Repo   |
|                       |             |                         |                    |             | Disposed   |               |                 |              |        |             | Trans  |
|                       |             |                         |                    |             | of (D)     |               |                 |              |        |             | (Instr |
|                       |             |                         |                    |             | (Instr. 3, |               |                 |              |        |             |        |
|                       |             |                         |                    |             | 4, and 5)  |               |                 |              |        |             |        |
|                       |             |                         |                    |             |            |               |                 |              |        |             |        |
|                       |             |                         |                    |             |            |               |                 |              | Amount |             |        |
|                       |             |                         |                    |             |            | Date          | Expiration      |              | or     |             |        |
|                       |             |                         |                    |             |            | Exercisable   | *               | Title Number | Number |             |        |
|                       |             |                         |                    |             |            | LACICISADIC   |                 |              | of     |             |        |
|                       |             |                         |                    | Code V      | (A) (D)    |               |                 |              | Shares |             |        |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BENET JAY S THE TRAVELERS COMPANIES, INC. 385 WASHINGTON STREET ST. PAUL, MN 55102

Vice Chairman

### **Signatures**

/s/Wendy C. Skjerven, by power of attorney

02/22/2019

Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents common stock paid out upon achievement of performance objectives contained in performance share rights granted in 2016. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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