

Schroepfer Michael Todd  
 Form 4  
 February 20, 2019

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL  
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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Schroepfer Michael Todd

(Last) (First) (Middle)  
 C/O FACEBOOK, INC., 1601  
 WILLOW ROAD  
 (Street)

MENLO PARK, CA 94025

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
 Facebook Inc [FB]

3. Date of Earliest Transaction  
 (Month/Day/Year)  
 02/15/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 \_\_\_X\_\_\_ Officer (give title below) \_\_\_ Other (specify below)  
 Chief Technology Officer

6. Individual or Joint/Group Filing(Check Applicable Line)  
 \_\_\_X\_\_\_ Form filed by One Reporting Person  
 \_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount (A) or (D) Price   |  |   |
| Class A Common Stock            | 02/15/2019                           |  | M                              |   | 26,847 A \$ 0   | 328,182  | D   |
| Class A Common Stock            | 02/15/2019                           |  | F                              |   | 12,409 (1) D \$ 163.95  | 315,773  | D   |
| Class A Common Stock            | 02/15/2019                           |  | M                              |   | 10,023 A \$ 0   | 325,796  | D   |
| Class A Common                  | 02/15/2019                           |  | F                              |   | 4,970 (1) D \$ 163.95   | 320,826  | D   |

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|                            |            |   |                             |   |           |         |   |   |
|----------------------------|------------|---|-----------------------------|---|-----------|---------|---|---|
| Stock                      |            |   |                             |   |           |         |   |   |
| Class A<br>Common<br>Stock | 02/15/2019 | M | 8,255                       | A | \$ 0      | 329,081 | D |   |
| Class A<br>Common<br>Stock | 02/15/2019 | F | <u>4,093</u> <sup>(1)</sup> | D | \$ 163.95 | 324,988 | D |   |
| Class A<br>Common<br>Stock | 02/15/2019 | M | 9,426                       | A | \$ 0      | 334,414 | D |   |
| Class A<br>Common<br>Stock | 02/15/2019 | F | <u>4,674</u> <sup>(1)</sup> | D | \$ 163.95 | 329,740 | D |   |
| Class A<br>Common<br>Stock |            |   |                             |   |           | 14,682  | I | By The Erin<br>Hoffmann<br>2017<br>Annuity<br>Trust<br>U/A/D<br>6/29/2017<br><u>(2)</u>         |
| Class A<br>Common<br>Stock |            |   |                             |   |           | 473,930 | I | By The HS<br>Trust<br>U/A/D<br>9/28/2011<br><u>(3)</u>  |
| Class A<br>Common<br>Stock |            |   |                             |   |           | 14,682  | I | By The<br>Michael<br>Schroepfer<br>2017<br>Annuity<br>Trust<br>U/A/D<br>6/29/2017<br><u>(4)</u> |
| Class A<br>Common<br>Stock |            |   |                             |   |           | 36,500  | I | By The Erin<br>Hoffman<br>2018<br>Annuity<br>Trust<br>U/A/D<br>11/15/2018<br><u>(5)</u>         |
| Class A<br>Common<br>Stock |            |   |                             |   |           | 36,500  | I | By The<br>Michael<br>Schroepfer   |

2018  
Annuity  
Trust  
U/A/D  
11/15/2018  
(6)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
*(e.g., puts, calls, warrants, options, convertible securities)*

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title Amount or Number of Shares                              |
| Restricted Stock Units (RSU) (Class A)     | <u>(7)</u>   | 02/15/2019                           |  | M                              | 26,847  | <u>(8)</u> 05/05/2023                                    | Class A Common Stock 26,847                                   |
| Restricted Stock Units (RSU) (Class A)     | <u>(7)</u>   | 02/15/2019                           |  | M                              | 10,023  | <u>(9)</u> 03/16/2024                                    | Class A Common Stock 10,023                                   |
| Restricted Stock Units (RSU) (Class A)     | <u>(7)</u>   | 02/15/2019                           |  | M                              | 8,255   | <u>(10)</u> 03/15/2025                                   | Class A Common Stock 8,255                                    |
| Restricted Stock Units (RSU) (Class A)     | <u>(7)</u>   | 02/15/2019                           |  | M                              | 9,426   | <u>(11)</u> 03/14/2027                                   | Class A Common Stock 9,426                                    |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                          |       |
|---|---------------|-----------|--------------------------|-------|
|   | Director      | 10% Owner | Officer                  | Other |
| Schroepfer Michael Todd<br>C/O FACEBOOK, INC.<br>1601 WILLOW ROAD<br>MENLO PARK, CA 94025 |               |           | Chief Technology Officer |       |

## Signatures

/s/ Michael Johnson as attorney-in-fact for Michael T.  
Schroepfer

02/20/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the number of shares of Class A Common Stock that have been withheld by the issuer to satisfy its income tax withholding and remittance obligations in connection with the net settlement of the RSUs and does not represent a sale by the reporting person.
  - (2) Shares held of record by Erin Hoffmann, Trustee of The Erin Hoffmann 2017 Annuity Trust U/A/D 6/29/2017, a grantor retained annuity trust for the benefit of the reporting person's spouse.
  - (3) Shares held of record by Michael Schroepfer and Erin Hoffmann, Co-Trustees of The HS Trust U/A/D 9/28/2011.
  - (4) Shares held of record by Michael Schroepfer, Trustee of The Michael Schroepfer 2017 Annuity Trust U/A/D 6/29/2017, a grantor retained annuity trust for the benefit of the reporting person.
  - (5) Shares held of record by Erin Hoffmann, Trustee of The Erin Hoffmann 2018 Annuity Trust U/A/D 11/15/2018, a grantor retained annuity trust for the benefit of the reporting person's spouse.
  - (6) Shares held of record by Michael Schroepfer, Trustee of The Michael Schroepfer 2018 Annuity Trust U/A/D 11/15/2018, a grantor retained annuity trust for the benefit of the reporting person.
  - (7) Each RSU represents a contingent right to receive 1 share of the issuer's Class A Common Stock upon settlement.
  - (8) The RSUs vest as to 1/16th of the total shares quarterly, beginning on November 15, 2017, subject to continued service through each vesting date.
  - (9) The RSUs vest as to 1/16th of the total shares quarterly, beginning on May 15, 2016, subject to continued service through each vesting date.
  - (10) The RSUs vest as to 1/16th of the total shares quarterly, beginning on May 15, 2017, subject to continued service through each vesting date.
  - (11) The RSUs shall vest quarterly as to 1/16th of the total shares, commencing the first quarter following November 15, 2018, subject to continued service through each vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.