TRAVELERS COMPANIES, INC.

Form 4 June 08, 2016

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

BENET JAY S

Symbol TRAVELERS COMPANIES, INC.

3. Date of Earliest Transaction

2. Issuer Name and Ticker or Trading

[TRV]

(Last) (First) (Middle)

(Month/Day/Year) 06/06/2016

THE TRAVELERS COMPANIES. INC., 385 WASHINGTON STREET

ST. PAUL, MN 55102

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Director 10% Owner \_X\_\_ Officer (give title Other (specify below)

Vice Chairman and CFO

6. Individual or Joint/Group Filing(Check

Applicable Line)

824.721

I

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code		4. Securities Acquired (A) nor Disposed of (D) (Instr. 3, 4 and 5)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	05/11/2016		G	V	895	D	\$ 0	66,905.131	D		
Common Stock	05/23/2016		G	V	1,350	D	\$ 0	65,555.131	D		
Common Stock	06/06/2016		M(1)		5,797	A	\$ 56.81	71,352.131	D		
Common Stock	06/06/2016		S <u>(1)</u>		5,797	D	\$ 114.755 (2)	65,555.131	D		

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Common 401(k) Stock Plan

Common Stock 8,190 I In Trusts

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to	\$ 56.81	06/06/2016		M <u>(1)</u>		5,797	02/01/2014	02/01/2021	Common	5,797

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BENET JAY S THE TRAVELERS COMPANIES, INC. 385 WASHINGTON STREET ST. PAUL, MN 55102

Vice Chairman and CFO

Stock

**Signatures** 

(Right to

Buy)

/s/Wendy C. Skjerven, by power of attorney 06/08/2016

\*\*Signature of Reporting Person Date

Reporting Owners 2

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This transaction was made pursuant to a trading plan entered into in accordance with Rule 10b5-1 under the Securities Exchange Act of (1) 1934. In its Form 10-Q filed on April 21, 2016, the Issuer previously disclosed the potential for executive sales of common stock, including through Rule 10b5-1 trading plans.
- Represents the weighted average sales price for price increments ranging from \$114.25 to \$115.23. The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each separate price for all transactions reported on this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.