

BALL CORP
Form 4
May 05, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Peterson James N

(Last) (First) (Middle)
10 LONGS PEAK DRIVE
(Street)
BROOMFIELD, CO 80021
(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
BALL CORP [BLL]

3. Date of Earliest Transaction
(Month/Day/Year)
05/04/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
VP, Marketing & Corp. Affairs

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) | Price | | |
| Common Stock | 05/04/2015 | | M ⁽¹⁾ | 4,800 | A \$ 25.055 | 20,513.8735 | D |
| Common Stock | 05/04/2015 | | S | 400 | D \$ 74.885 | 20,113.8735 | D |
| Common Stock | 05/04/2015 | | S | 800 | D \$ 74.88 | 19,313.8735 | D |
| Common Stock | 05/04/2015 | | S | 2,500 | D \$ 74.87 | 16,813.8735 | D |
| Common Stock | 05/04/2015 | | S | 400 | D \$ 74.86 | 16,413.8735 | D |

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| | | | | | | | | |
|--------------|------------|---|-----|---|-----------|-------------|---|----------------------------|
| Common Stock | 05/04/2015 | S | 100 | D | \$ 74.85 | 16,313.8735 | D | |
| Common Stock | 05/04/2015 | S | 500 | D | \$ 74.845 | 15,813.8735 | D | |
| Common Stock | 05/04/2015 | S | 100 | D | \$ 74.84 | 15,713.8735 | D | |
| Common Stock | | | | | | 479.086 | I | 401(k) Plan ⁽²⁾ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Underlying (Instr. 3 and 4) | |
|--|--|--------------------------------------|--|--------------------------------|---|--|--|--------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title |
| Deferred Compensation Company Stock Plan | ⁽³⁾ | 05/04/2015 | | <u>I</u> ⁽⁴⁾ | 5,364.0123 | <u>(5)</u> | <u>(5)</u> | Common Stock |
| Employee Stock Option (Right to Buy) | \$ 25.055 | 05/04/2015 | | <u>M</u> ⁽¹⁾ | 4,800 | 04/23/2009 | 04/23/2018 | Common Stock |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-------------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Peterson James N 10 LONGS PEAK DRIVE BROOMFIELD, CO 80021 | | | VP, Marketing & Corp. Affairs | |

Signatures

/s/ Robert W. McClelland, attorney-in-fact for Mr. Peterson
05/05/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of stock options.
- (2) Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.
- (3) Each unit may be settled for a single share of stock or the equivalent amount of cash pursuant to the Ball Corporation Deferred Compensation Company Stock Plan.
- (4) Exempt discretionary transaction involving the transfer of units from one Ball Corporation Deferred Compensation Company Stock Plan ("DCCSP") fund into another fund within the DCCSP that are neither valued nor settled in Ball Corporation equity securities.
- (5) Stock Units in Ball Corporation's Deferred Compensation Company Stock Plan are distributed upon the separation of service in accordance with the Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.