

NACCO INDUSTRIES INC
Form 4
March 13, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SEELBACH SCOTT W

2. Issuer Name and Ticker or Trading Symbol
NACCO INDUSTRIES INC [NC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
03/12/2014

Director 10% Owner
 Officer (give title below) Other (specify below)
Member of a group

NACCO INDUSTRIES, INC., 5875 LANDERBROOK DRIVE, STE. 220

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|---|---------------------|
| | | | | (A) or (D) | Price | | | | |
| | | | | Code | V | Amount | | | |
| Class A Common Stock | 03/12/2014 | | S | 54 | D | \$ 49.51 | 10,787 | I | By Spouse/Trust (1) |
| Class A Common Stock | 03/12/2014 | | S | 29 | D | \$ 49.51 | 10,758 | I | By Spouse/Trust (1) |
| Class A Common Stock | 03/12/2014 | | S | 17 | D | \$ 49.74 | 10,741 | I | By Spouse/Trust (1) |
| Class A Common Stock | 03/12/2014 | | S | 100 | D | \$ 49.8 | 10,641 | I | By |

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| | | | | | | | | |
|----------------------|------------|---|-----|---|----------|-------|---|--------------------------------|
| Common Stock | | | | | | | | Spouse/Trust <u>(1)</u> |
| Class A Common Stock | 03/12/2014 | S | 900 | D | \$ 49.84 | 9,741 | I | By Spouse/Trust <u>(1)</u> |
| Class A Common Stock | 03/12/2014 | S | 200 | D | \$ 49.59 | 9,541 | I | By Spouse/Trust <u>(1)</u> |
| Class A Common Stock | 03/12/2014 | S | 100 | D | \$ 49.6 | 9,441 | I | By Spouse/Trust <u>(1)</u> |
| Class A Common Stock | 03/12/2014 | S | 100 | D | \$ 49.77 | 9,341 | I | By Spouse/Trust <u>(1)</u> |
| Class A Common Stock | 03/12/2014 | S | 25 | D | \$ 49.73 | 9,316 | I | By Spouse/Trust <u>(1)</u> |
| Class A Common Stock | 03/12/2014 | S | 75 | D | \$ 49.73 | 9,241 | I | By Spouse/Trust <u>(1)</u> |
| Class A Common Stock | 03/12/2014 | S | 200 | D | \$ 50.06 | 9,041 | I | By Spouse/Trust <u>(1)</u> |
| Class A Common Stock | | | | | | 9,641 | I | By Assoc II/Spouse <u>(2)</u> |
| Class A Common Stock | | | | | | 537 | I | By AssocII/Child 2 <u>(3)</u> |
| Class A Common Stock | | | | | | 563 | I | By Trust/Child 2 <u>(4)</u> |
| Class A Common Stock | | | | | | 722 | D | |
| Class A Common Stock | | | | | | 1,321 | I | By Assoc II <u>(5)</u> |
| Class A Common Stock | | | | | | 337 | I | By AssocII/Child 1 <u>(3)</u> |
| Class A Common Stock | | | | | | 722 | I | By Trust/Child 1 <u>(4)</u> |

Class A Common Stock 385 I By AssocII/Child 3 (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Transaction (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

SEELBACH SCOTT W
 NACCO INDUSTRIES, INC.
 5875 LANDERBROOK DRIVE, STE. 220
 MAYFIELD HEIGHTS, OH 44124

Member of a group

Signatures

/s/ Jesse L. Adkins,
 attorney-in-fact 03/13/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Held by a Trust, together with National City Bank , Chloe R. Seelbach, Trustees for the trust dated 4/10/09. Reporting Person disclaims beneficial ownership of all such shares.
- (2)

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Represents the Reporting Person's spouse's proportionate limited partnership interests in shares held by Rankin Associates II, L. P. Reporting Person disclaims beneficial ownership of all such shares.

- (3) Represents the Reporting Person's child's proportionate limited partnership interests in shares held by Rankin Associates II, L.P.
- (4) Represents Reporting Person's child's trust. Reporting Person disclaims all beneficial ownership of such shares.
- (5) Represents the Reporting Person's proportionate limited partnership interests in shares held by Rankin Associates II, L.P.-----

Remarks:

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of an equity security as a result

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.