IKONICS CORP Form 8-K February 27, 2017

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported) February 27, 2017

### **IKONICS CORPORATION**

(Exact name of registrant as specified in its charter)

Minnesota
(State or other jurisdiction of incorporation)

000-25727 (Commission File Number) 41-0730027 (IRS Employer Identification No.)

4832 Grand Avenue
Duluth, Minnesota
(Address of principal executive offices)

**55807** (Zip Code)

Registrant s telephone number, including area code (218) 628-2217

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (*see* General Instruction A.2. below):

| 0          | Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)                  |
|------------|--|
| o          | Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)                 |
| o<br>240.1 | Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 4d-2(b))      |
| o          | Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) |
|            |  |

| Item 2.02. | Results of Operations and Financial Condition. |
|------------|--|
|------------|--|

On February 27, 2017, IKONICS Corporation (the Company) reported its financial results for the quarter and fiscal year ended December 31, 2016. See the Company s press release dated February 27, 2017, which is furnished as Exhibit 99 hereto and incorporated by reference in this Item 2.02.

Item 9.01. Financial Statements and Exhibits.

(d) <u>Exhibit</u>.

Press Release dated February 27, 2017

2

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

IKONICS CORPORATION

Date: February 27, 2017

/s/ Jon Gerlach Jon Gerlach Chief Financial Officer and Vice President of Finance

3

#### EXHIBIT INDEX

| Exhibit | Description                           | Method of Filing     |
|---------|---------------------------------------|----------------------|
| 99      | Press Release dated February 27, 2017 | Filed Electronically |
|         | 4                                     |                      |