#### **HUMPHREYS ROBERT W**

Form 4 April 16, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

2. Issuer Name and Ticker or Trading

**OMB** Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

Issuer

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

Symbol

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

**HUMPHREYS ROBERT W** 

| -   |                                   |   | DELTA APPAREL, INC [DLA] |  |                                       | (Check all applicable)                 |   |  |  |              |  |
|---|-----------------------------------|---|--------------------------|--|---------------------------------------|--|---|--|--|--------------|--|
| (Last) (First) (Middle) 322 S MAIN STREET |                                   | 3. Date of Earliest Transaction (Month/Day/Year) 04/12/2019 |                          |  |                                       |  | _X_ Director 10% Owner X_ Officer (give title Other (specify below) |  |  |              |  |
|   |                                   |   |                          | 4. If Amendment, Date Original Filed(Month/Day/Year) |                                       |  |   | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |              |  |
| (City)                                    | (State)                           | (Zip)   | Tabl                     | e I - Non-D  | erivative                             | Secur                                  | ities Aca   | uired, Disposed o  | f. or Beneficial   | lv Owned     |  |
| 1.Title of<br>Security<br>(Instr. 3)      | 2. Transaction E<br>(Month/Day/Ye | ar) Execution   |                          | 3.<br>Transactic<br>Code<br>(Instr. 8)               | 4. Securi<br>on(A) or D<br>(Instr. 3, | ties A<br>ispose<br>4 and<br>(A)<br>or | cquired<br>d of (D)   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)                             | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of |  |
| Common<br>Stock<br>\$0.01 Par<br>Value    | 04/12/2019                        |   |                          | S <u>(1)</u>   | 110                                   | D                                      | \$<br>23.63<br>(2)  | 527,391  | D  |              |  |
| Common<br>Stock<br>\$0.01 Par<br>Value    | 04/15/2019                        |   |                          | S <u>(1)</u>   | 1,000                                 | D                                      | \$ 23.51 (3)  | 526,391  | D  |              |  |
| Common<br>Stock<br>\$0.01 Par<br>Value    | 04/16/2019                        |   |                          | S <u>(1)</u>   | 1,000                                 | D                                      | \$<br>22.96<br>(4)  | 525,391  | D  |              |  |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.           | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | cisable and | 7. Titl | e and                  | 8. Price of | 9 |
|-------------|--------------|---------------------|--------------------|------------|------------|---------------|-------------|---------|------------------------|-------------|---|
| Derivativ   | e Conversion | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration Da | ate         | Amou    | nt of                  | Derivative  | J |
| Security    | or Exercise  |                     | any                | Code       | of         | (Month/Day/   | Year)       | Under   | lying                  | Security    | , |
| (Instr. 3)  | Price of     |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |             | Secur   | ities                  | (Instr. 5)  | ] |
|             | Derivative   |                     |                    |            | Securities |               |             | (Instr. | 3 and 4)               |             | ( |
|             | Security     |                     |                    |            | Acquired   |               |             |         |                        |             | J |
|             |              |                     |                    |            | (A) or     |               |             |         |                        |             | J |
|             |              |                     |                    |            | Disposed   |               |             |         |                        |             | - |
|             |              |                     |                    |            | of (D)     |               |             |         |                        |             | ( |
|             |              |                     |                    |            | (Instr. 3, |               |             |         |                        |             |   |
|             |              |                     |                    |            | 4, and 5)  |               |             |         |                        |             |   |
|             |              |                     |                    |            |            |               |             |         | Amount                 |             |   |
|             |              |                     |                    |            |            |               |             |         | or                     |             |   |
|             |              |                     |                    |            |            | Date          | Expiration  | Titla   |                        |             |   |
|             |              |                     |                    |            |            | Exercisable   | Date        | Title   |                        |             |   |
|             |              |                     |                    | Code V     | (A) (D)    |               |             |         |                        |             |   |
|             |              |                     |                    | Code V     | (A) (D)    |               | *           | Title   | Number<br>of<br>Shares |             |   |

Deletionship

## **Reporting Owners**

| Reporting Owner Name / Address | Ketauonsinps |           |                  |       |  |  |
|--------------------------------|--------------|-----------|------------------|-------|--|--|
|                                | Director     | 10% Owner | Officer          | Other |  |  |
| HUMPHREYS ROBERT W             |              |           |                  |       |  |  |
| 322 S MAIN STREET              | X            |           | Chairman and CEO |       |  |  |
| GREENVILLE, SC 29601           |              |           |                  |       |  |  |

### **Signatures**

/s/ Humphreys, 04/16/2019 Robert W.

\*\*Signature of Reporting

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.
- The price reported in column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from (2) \$23.62 to \$23.67, inclusive. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer and any security holder of the issuer full information regarding the number of shares and price at which the transactions were effected.
- The price reported in column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from (3) \$23.44 to \$23.60, inclusive. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer and any security holder of the issuer full information regarding the number of shares and price at which the transactions were effected.

Reporting Owners 2

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The price reported in column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from (4) \$22.85 to \$23.20, inclusive. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer and any security holder of the issuer full information regarding the number of shares and price at which the transactions were effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.