## Edgar Filing: ACE HEATHER S - Form 4

| ACE HEAT  | HER S                            |                   |           |  |               |        |              |   |                        |                         |  |
|---|----------------------------------|-------------------|-----------|--|---------------|--------|--------------|---|------------------------|-------------------------|--|
| Form 4  |                                  |                   |           |  |               |        |              |   |                        |                         |  |
| March 11, 20  | )19                              |                   |           |  |               |        |              |   |                        |                         |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION |                                  |                   |           |  |               |        | OMB APPROVAL |   |                        |                         |  |
|   | UNITED                           | SIAIESS           |           | hington,                                   |               |        | NGE C        | OMMISSION   | OMB<br>Number:         | 3235-0287               |  |
| Check th<br>if no long                                  | ar.                              |                   |           |  |               |        |              |   | Expires:               | January 31,<br>2005     |  |
| subject to STATEMENT OF CHAN                            |                                  |                   |           | GES IN BENEFICIAL OWNERS                   |               |        |              | NERSHIP OF  | Estimated a            | ted average             |  |
| Section 1   |                                  | SECURITIES        |           |  |               |        | burden hour  | rs per  |                        |                         |  |
| Form 4 o<br>Form 5                                      |                                  | cuant to Sa       | ation 1   | S(n) of the                                | o Socuriti    | os Fr  | vohona       | $\Lambda$ at of 1034  | response               | 0.5                     |  |
| obligatio   | ns Section 17(                   |                   |           |  |               |        | •            | e Act of 1934,<br>1935 or Sectior                             | h                      |                         |  |
| may cont<br>See Instru                                  | inue.                            |                   |           | vestment                                   |               |        |              |   | 1                      |                         |  |
| 1(b).   | uction                           |                   |           |  | e e inpun     | ,      |              | ·   |                        |                         |  |
|   |                                  |                   |           |  |               |        |              |   |                        |                         |  |
| (Print or Type I  | Responses)                       |                   |           |  |               |        |              |   |                        |                         |  |
| 1 Nome and A  | ddrace of Departing 1            | Damaan *          |           |  |               |        |              | 5 Deletionship of   | Donorting Doro         | an(a) to                |  |
| ACE HEAT  | ddress of Reporting I            |                   |           | er Name and Ticker or Trading              |               |        |              | 5. Relationship of Reporting Person(s) to<br>Issuer           |                        |                         |  |
| Symbol  |                                  |                   |           | COM INC [DXCM]                             |               |        |              |   |                        |                         |  |
|   |                                  |                   |           | . ,  |               |        |              | (Check all applicable)  |                        |                         |  |
| (Month/D  |                                  |                   |           | e of Earliest Transaction                  |               |        | Director     | 10%   | Owner                  |                         |  |
|   |                                  |                   |           | 08/2019                                    |               |        |              | Officer (give   | title Othe             | r (specify              |  |
|   |                                  |                   |           |  |               |        |              | below) below)<br>SVP Human Resources                          |                        |                         |  |
|   | (Street)                         | 4                 | If Ame    | ndment Da                                  | te Original   |        |              |   |                        |                         |  |
|   |                                  |                   |           | nendment, Date Original<br>Ionth/Day/Year) |               |        |              | 6. Individual or Joint/Group Filing(Check<br>Applicable Line) |                        |                         |  |
|   |                                  |                   |           |  |               |        |              | _X_ Form filed by One Reporting Person                        |                        |                         |  |
| SAN DIEG  | O, CA 92121                      |                   |           |  |               |        |              | Form filed by M<br>Person                                     | lore than One Re       | porting                 |  |
| (City)  | (State)                          | (Zip)             | Table     | e I - Non-D                                | erivative S   | Securi | ties Acq     | uired, Disposed of  | , or Beneficial        | y Owned                 |  |
| 1.Title of  | 2. Transaction Date              | 2A. Deemed        | d         | 3.   | 4. Securiti   | es Ac  | auired       | 5. Amount of  | 6.                     | 7. Nature of            |  |
| Security  | (Month/Day/Year) Execution Date, |                   |           |  |               |        |              | Securities  | Ownership              | Indirect                |  |
| (Instr. 3)  |                                  | any<br>(Manth/Day | (Vaar)    | Code (Instr. 3, 4 and 5) $(1 + 3 + 2)$     |               |        |              |   | Form: Direct Benefi    |                         |  |
|   |                                  | (Month/Day        | y/ i ear) | (Instr. 8)                                 |               |        |              | Owned<br>Following  | (D) or<br>Indirect (I) | Ownership<br>(Instr. 4) |  |
|   |                                  |                   |           |  |               | (A)    |              | Reported  | (Instr. 4)             |                         |  |
|   |                                  |                   |           |  |               | or     |              | Transaction(s) (Instr. 3 and 4)                               |                        |                         |  |
| C   |                                  |                   |           | Code V                                     | Amount        | (D)    | Price        | (mout. 5 and 4)   |                        |                         |  |
| Common<br>Stock   | 03/08/2019                       |                   |           | А  | 11,714<br>(1) | А      | \$<br>0.001  | 76,795 <u>(2)</u>   | D                      |                         |  |
| STOCK   |                                  |                   |           |  | (1)           |        | 0.001        |   |                        |                         |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5.<br>orNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | ;                   | ate                | Secur | unt of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owna<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|---|---------------------|--------------------|-------|--|---|--|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |   |  |

## **Reporting Owners**

| Reporting Owner Name / Address                              | Relationships |           |                     |       |  |  |  |  |
|---|---------------|-----------|---------------------|-------|--|--|--|--|
|   | Director      | 10% Owner | Officer             | Other |  |  |  |  |
| ACE HEATHER S<br>6340 SEQUENCE DRIVE<br>SAN DIEGO, CA 92121 |               |           | SVP Human Resources |       |  |  |  |  |
| Signatures  |               |           |                     |       |  |  |  |  |
| By: Timothy O'Brien For: Heather<br>S. Ace                  |               | 03/1      |                     |       |  |  |  |  |
| <u>**</u> Signature of Reporting Person                     |               | D         | ate                 |       |  |  |  |  |

## **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents a grant of restricted stock units that are exempt from Section 16 b-3 and are subject to vesting over a 36 month period from the (1) date of grant as follows: 1/3 shall vest 12 months from the Grant Date, and the remaining balance shall vest in four equal installments over the following 24 months. Share units represent a contingent right to receive one share of DexCom, Inc. common stock.

Included in this number are 52,820 unvested restricted stock units, 11,714 of which were granted on March 8, 2019 and shall vest through March 8, 2022, 20,062 of which were granted on March 8, 2018 and shall vest through March 8, 2021, 7,294 of which were granted on

(2)March 8, 2017 and shall vest through March 8, 2020 and 13,750 of which were granted on August 22, 2016 and shall vest through August 22, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.