

Edgar Filing: KOLY M S /FA/ - Form 4

KOLY M S /FA/
Form 4
November 09, 2001

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

☐ Check box if no longer subject to Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person*

Koly	M.S.	
(Last)	(First)	(Middle)
1100 Summer Street, 3rd Floor		
(Street)		
Stamford	CT	06905
(City)	(State)	(Zip)

2. Issuer Name and Ticker or Trading Symbol

Delcath Systems, Inc. DCTH

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

October 2001

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer
(Check all applicable)

☒ Director	☒ 10% Owner
☒ Officer (give title below)	☐ Other (specify below)
President and Chief Executive Officer	

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7. Individual or Joint/Group Filing (Check applicable line)

- ☒ Form filed by one Reporting Person
☐ Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (mm/dd/yy)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		
		----- Code	V	Amount	(A) or (D)	Price
Common Stock	10/19/01	P		2,500	A	\$1.00
Common Stock	10/30/01	P		5,000	A	\$1.00
Common Stock	10/31/01	P		2,500	A	\$1.00
Common Stock						

* If the Form is filed by more than one Reporting Person,
see Instruction 4(b) (v).

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

(Print or Type Response)

(Over)

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

2. Conver- sion or Exer-	4.	5. Number of Derivative Securities	6. Date	7. Title and Amount of Underlying Securities
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1. Title of Derivative Security (Instr. 3)	cise Price of Deriv- ative Secur- ity	3. Trans- action Date (Month/ Day/ Year)	Trans- action Code (Instr. 8) ----- Code V	Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)	Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion cisable Date		(Instr. 3 and 4) ----- Amount or Number of Shares

/s/ M.S. Koly

**Signature of Reporting Person

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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