## Edgar Filing: NETTLES PATRICK H - Form 4

NETTLES I	PATRICK H											
Form 4												
February 22	., 2019											
FORM	ЛΔ								OMB AF	PROVAL		
<b>CURIVI 4</b> UNITED STATES SECURITIES AND EXCHAN Washington, D.C. 20549					NGE CO	OMMISSION	OMB Number:	3235-0287				
Check the	F CHANGES IN BENEFICIAL OWNERSHIP SECURITIES						Expires:	January 31,				
Section 16.						ERSHIP OF	Estimated a burden hour	0				
obligations may continue Section 17(a) of the Public Utility					(a) of the Securities Exchange Act of 1934, lity Holding Company Act of 1935 or Section estment Company Act of 1940							
(Print or Type	Responses)											
1. Name and Address of Reporting Person <u>*</u> NETTLES PATRICK H			2. Issuer Name <b>and</b> Ticker or Trading Symbol CIENA CORP [CIEN]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last)	(First)	(First) (Middle)			3. Date of Earliest Transaction				(Check an applicable)			
C/O CIENA CORPORATION, 7035 RIDGE RD.			(Month/Day/Year) 02/20/2019					X_ Director 10% Owner Officer (give title Other (specify below) below)				
(Street)			Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
HANOVEI	R, MD 21076-14	26						Form filed by Mo Person	ore than One Rej	porting		
(City)	(State)	(Zip)	Tab	le I - Non-	Derivative	Secu	rities Acqu	ired, Disposed of,	or Beneficiall	y Owned		
1.Title of Security (Instr. 3)	urity (Month/Day/Year) Execution Date, if Transactionor Disp		omr Dispos	ccurities Acquired (A) isposed of (D) r. 3, 4 and 5) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
				Code V	Amount	(D)	Price	(Instr. 3 and 4)				
Common Stock	02/20/2019			S	10,000 (1)	D	\$ 42.2085 (2)	5 413,865 <u>(3)</u>	D			
Common Stock	02/20/2019			S	5,000 (1)	D	\$ 42.2048 (4)	8 408,865 <u>(3)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
NETTLES PATRICK H C/O CIENA CORPORATION 7035 RIDGE RD. HANOVER, MD 21076-1426	Х						
Signatures							
By: Michelle Rankin For: Patrie Nettles	ck H.	02/22/2019					
**Signature of Reporting Person		Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sales were effected pursuant to Rule 10b5-1 trading plan dated 01/15/2019.
- (2) Reflects the weighted average sales price with transactions in a range of sales from \$41.65 to \$42.51. Upon request by the SEC, full information regarding the number of shares sold at each separate sales price will be provided.
- (3) Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).
- (4) Reflects the weighted average sales price with transactions in a range of sales from \$41.65 to \$42.56. Upon request by the SEC, full information regarding the number of shares sold at each separate sales price will be provided.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.