

Edgar Filing: BEAR STEARNS COMPANIES INC - Form 4

BEAR STEARNS COMPANIES INC  
Form 4  
March 28, 2003

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FORM 4  
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OMB APPROVAL  
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OMB Number: 3235-0287  
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(h) of the Investment Company Act of 1940

[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5  
obligations may continue. See Instruction 1(b).

(Print or Type Responses)

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1. Name and Address of Reporting Person\*

NOVELLY PAUL A.  
-----  
(Last) (First) (Middle)  
  
8235 FORSYTH BLVD., SUITE 400  
-----  
(Street)

ST. LOUIS MO 63105  
-----  
(City) (State) (Zip)

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2. Issuer Name AND Ticker or Trading Symbol

THE BEAR STEARNS COMPANIES INC. (BSC)  
=====

3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)

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4. Statement for Month/Day/Year

February 28, 2003  
=====

5. If Amendment, Date of Original (Month/Day/Year)

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6. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

[ X ] Director [ ] 10% Owner  
[ ] Officer (give title below) [ ] Other (specify below)

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7. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person

Form filed by More than One Reporting Person

TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED

1. Title of Security (Instr. 3)	2. Transaction Date (Month/ Day/Year)	2A. Deemed Execution Date, if any (Month/ Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Price
			Code	V	Amount	(A) or (D)	
COMMON STOCK, par value \$1.00 per share							

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED  
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

1. Title of Derivative Security	2. Conversion or Exercise Price of Deriv- ative Secu-	3. Trans- action Date (Month/ Day/	3A. Execu- tion Date, if any (Month/ Day/	4. Trans- action Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)
						Date	Expira- tion	

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(Instr. 3)	rity	Year)	Year)	Code V	(A)	(D)	isable	Date	Title	Shares
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Non-Employee Director Stock Options (Right to Buy)	\$64.00				146		05/30/03	11/30/12	Common Stock	146
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Restricted Stock Units	0				48		05/30/03	05/30/03	Common Stock	48
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Non-Employee Director Stock Options (Right to Buy)	\$62.64	02/28/03		A (Note 2)	149		08/28/03	02/28/13	Common Stock	149
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Restricted Stock Units	0	02/28/03		A (Note 3)	49		08/28/03	08/28/03	Common Stock	49
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