

Edgar Filing: LEE ENTERPRISES INC - Form 4

LEE ENTERPRISES INC

Form 4

March 09, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person

Gottlieb, Richard D.  
Lee Enterprises, Incorporated  
400 Putnam Bldg.  
Davenport, IA 52801  
USA

2. Issuer Name and Ticker or Trading Symbol

Lee Enterprises, Incorporated  
LEE ENT

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

02/28/01

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

(X) Director ( ) 10% Owner (X) Officer (give title below) ( ) Other  
(specify below)  
Chairman

7. Individual or Joint/Group Filing (Check Applicable Line)

(X) Form filed by One Reporting Person  
( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D)<br>Amount | A/D | Price    | 5. Amount of Securities Beneficially Owned at End of Month |
|----------------------|---------------------|---------|---|-----|----------|--|
| Common Stock         | 02/07/01            | D       | 4,593   | D   | \$32.18  |  |
| Common Stock         | 02/07/01            | F       | 2,207   | D   | \$32.18  |  |
| Common Stock         | 02/09/01            | M       | 72,000  | A   | \$11     |  |
| Common Stock         | 02/09/01            | S       | 38,500  | D   | \$31.365 |  |
| Common Stock         | 02/10/01            | W       | 2,733   | A   |          |  |
| Common Stock         | 02/12/01            | S       | 33,500  | D   | \$31.566 |  |

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|                      |          |   |   |        |   |               |         |  |
|----------------------|----------|---|---|--------|---|---------------|---------|--|
| Common Stock         | 02/15/10 | W | V | 2,733  | D | \$31.47       |         |  |
| Common Stock         | 02/26/10 | F | V | 39,915 | D | \$32.10       |         |  |
| Common Stock         | 02/26/10 | M |   | 58,275 | A | \$16-\$25.938 | 87,267  |  |
| Common Stock         |          |   |   |        |   |               | 800     |  |
| Common Stock         |          |   |   |        |   |               | 9,845   |  |
| Common Stock         |          |   |   |        |   |               | 5,962   |  |
| Class B Common Stock | 02/10/09 | W | V | 4,244  | A |               |         |  |
| Class B Common Stock | 02/15/10 | W |   | 4,244  | D | \$31.47       |         |  |
| Class B Common Stock | 02/28/10 | W | V | 40,000 | A |               | 105,860 |  |
| Class B Common Stock |          |   |   |        |   |               | 21,360  |  |
| Class B Common Stock |          |   |   |        |   |               | 3,957   |  |
| Class B Common Stock |          |   |   |        |   |               | 2,100   |  |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security      | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Transaction Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities | 8. Put or Call |
|--------------------------------------|--|---------------------|---------------------|--|--|--|----------------|
| Employee Stock Option (Right to Buy) | \$11   | 02/09/10            | M                   | 72,000   | D ** 11/19/01  | Common Stock                                 | 72,000         |
| Employee Stock Option (Right to Buy) | \$15.5625  |                     |                     |  | D ** 11/17/02  | Common Stock                                 | 52,806         |
| Employee Stock Option (Right to Buy) | \$16   | 02/26/10            | M                   | 8,900  | D ** 11/3/03   | Common Stock                                 | 26,700         |
| Employee Stock Option (Right to Buy) | \$16.6250  | 02/26/10            | M                   | 10,000   | D ** 11/1/04   | Common Stock                                 | 30,000         |
| Employee Stock Option (Right to Buy) | \$19.8125  | 02/26/10            | M                   | 10,000   | D ** 11/8/05   | Common Stock                                 | 30,000         |
| Employee Stock Option                | \$21.5000  | 02/26/10            | M                   | 5,000  | D ** 10/28   | Common Stock                                 | 15,000         |

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|   |           |         |   |       |   |       |       |              |        |
|---|-----------|---------|---|-------|---|-------|-------|--------------|--------|
| (Right to Buy)                          |           | /01     |   |       |   | /06   |       |              |        |
| Employee Stock Option<br>(Right to Buy) | \$26.6250 | 02/26 M |   | 6,250 | D | **    | 01/31 | Common Stock | 18,750 |
|   |           | /01     |   |       |   | /06** |       |              |        |
| Employee Stock Option<br>(Right to Buy) | \$27.1880 | 02/26 M |   | 4,375 | D | **    | 01/31 | Common Stock | 13,125 |
|   |           | /01     |   |       |   | /06** |       |              |        |
| Employee Stock Option<br>(Right to Buy) | \$29.9380 | 02/26 M |   | 6,250 | D | **    | 01/31 | Common Stock | 18,750 |
|   |           | /01     |   |       |   | /06** |       |              |        |
| Employee Stock Option<br>(Right to Buy) | \$25.9380 | 02/26 M |   | 7,500 | D | **    | 01/31 | Common Stock | 22,500 |
|   |           | /01     |   |       |   | /06** |       |              |        |
| Employee Stock Option<br>(Right to Buy) | \$28.2500 |         |   |       |   | 11/29 | 11/17 | Common Stock | 3,963  |
|   |           |         |   |       |   | /01   | /02   |              |        |
| Employee Stock Option<br>(Right to Buy) | \$32.1000 | 02/26 A | V | 4,436 | A | 02/26 | 11/03 | Common Stock | 4,436  |
|   |           | /01     |   |       |   | /02   | /03   |              |        |
| Employee Stock Option<br>(Right to Buy) | \$32.1000 | 02/26 A | V | 5,179 | A | 02/26 | 11/01 | Common Stock | 5,179  |
|   |           | /01     |   |       |   | /02   | /04   |              |        |
| Employee Stock Option<br>(Right to Buy) | \$32.1000 | 02/26 A | V | 6,172 | A | 02/26 | 11/08 | Common Stock | 6,172  |
|   |           | /01     |   |       |   | /02   | /05   |              |        |
| Employee Stock Option<br>(Right to Buy) | \$32.1000 | 02/26 A | V | 3,349 | A | 02/26 | 10/28 | Common Stock | 3,349  |
|   |           | /01     |   |       |   | /02   | /06   |              |        |
| Employee Stock Option<br>(Right to Buy) | \$32.1000 | 02/26 A | V | 5,184 | A | 02/26 | 01/31 | Common Stock | 5,184  |
|   |           | /01     |   |       |   | /02   | /06** |              |        |
| Employee Stock Option<br>(Right to Buy) | \$32.1000 | 02/26 A | V | 3,706 | A | 02/26 | 01/31 | Common Stock | 3,706  |
|   |           | /01     |   |       |   | /02   | /06** |              |        |
| Employee Stock Option<br>(Right to Buy) | \$32.1000 | 02/26 A | V | 5,829 | A | 02/26 | 01/31 | Common Stock | 5,829  |
|   |           | /01     |   |       |   | /02   | /06** |              |        |
| Employee Stock Option<br>(Right to Buy) | \$32.1000 | 02/26 A | V | 6,060 | A | 02/26 | 01/31 | Common Stock | 6,060  |
|   |           | /01     |   |       |   | /02   | /06** |              |        |

Explanation of Responses:

\*The Reporting Person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the Reporting Person is the beneficial owner of such securities for purposes of Section 16 or any other purpose. One of the Reporting Person's daughters is no longer a dependent and her holdings have been deleted from reporting with this filing.

\*\*Effective January 31, 2001 all of the Reporting Person's stock options became fully vested in their entirety as of that date and all of the expiration dates of the Reporting Person's unexercised stock options were changed to expire upon the earlier of (a) the expiration date provided in the applicable Plan Agreement or (b) January 31, 2006.

SIGNATURE OF REPORTING PERSON

/s/ Richard D. Gottlieb

