#### SIMMONS HAROLD C

Form 4 April 16, 2013

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

5. Relationship of Reporting Person(s) to

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

3235-0287 Number: January 31, Expires: 2005

0.5

**OMB APPROVAL** 

subject to Section 16. Form 4 or Form 5

obligations

Estimated average **SECURITIES** burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

Common

stock,

04/16/2013

(Print or Type Responses)

1. Name and Address of Reporting Person \*

See Instruction

SIMMONS	S HAROLD C		Symbol VALHI INC	C /DI	E/ [VHI]	TTuc	]	Issuer (Check	all applicable	)
(Last)	(First)	(Middle)	3. Date of Ear	liest T	ransaction	1		(encen	ин иррпсиоте	,
5430 LBJ I	FREEWAY, SUI		(Month/Day/Y 04/16/2013					_X_ Director _X_ Officer (give to below) Chairma	X 10% itle Othe below) an of the Boar	er (specify
	(Street)	4	4. If Amendm	ent, D	ate Origin	al	(	6. Individual or Joi	nt/Group Filin	g(Check
		]	Filed(Month/D					Applicable Line) Form filed by One Reporting Person		
DALLAS,							_X_ Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table I -	Non-l	Derivativo	e Secu	rities Acqu	ired, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Oate, if Tran Cod y/Year) (Inst	e tr. 8)	4. Securi for Dispos (Instr. 3,	sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common stock, \$0.01 par value per share	04/16/2013		J <u>(1</u>		200	A	\$ 15.95	242,897	I	By Contran
Common stock, \$0.01 par value per share	04/16/2013		J <u>(1</u>	<u>)</u>	2,000	A	\$ 15.9899	244,897	I	By Contran

J(1)

200

\$ 16

245,097

I

By

Contran

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\$0.01 par value per share								(2)	
Common stock, \$0.01 par value per share	04/16/2013	J <u>(1)</u>	1,800	A	\$ 16.05	246,897	I	By Contran	
Common stock, \$0.01 par value per share	04/16/2013	J <u>(1)</u>	1,800	A	\$ 16.0901	248,697	I	By Contran	
Common stock, \$0.01 par value per share						1,850,880	D		
Common stock, \$0.01 par value per share						314,033,148	I	by VHC	
Common stock, \$0.01 par value per share						1,100,541	I	by CDCT	
Common stock, \$0.01 par value per share						818,514	I	by Spouse (5)	
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.									

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	Securities	(Instr. 5)	Bene
	Derivative				Securities	S	(Instr. 3 and 4)		Owne

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Security
Acquired
(A) or
Disposed
of (D)

of (D) (Instr. 3, 4, and 5)

Code V (A) (D) Date

Date Expire Exercisable Date

Expiration Title Amount
Date or

or Number of Shares Follo

Repo

Trans

(Insti

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
SIMMONS HAROLD C 5430 LBJ FREEWAY, SUITE 1700 DALLAS, TX 75240	X	X	Chairman of the Board					
CONTRAN CORP 5430 LBJ FREEWAY, SUITE 1700 DALLAS, TX 75240		X						

# **Signatures**

A. Andrew R. Louis, Attorney-in-fact, for Harold C.

Simmons 04/16/2013

\*\*Signature of Reporting Person Date

A. Andrew R. Louis, Secretary, for Contran Corporation

04/16/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Opern market purchase by Contran Corporation. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship between the persons joining in this filing.
- (2) Directly held by Contran Corporation. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship between the persons joining in this filing.
- (3) Directly held by Valhi Holding Company. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the persons joining in this filing.
- (4) Directly owned by the Contran Amended and Restated Deferred Compensation Trust. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the persons joining in this filing.
- Directly held by the reporting person's spouse. Mr. Simmons disclaims beneficial ownership of any shares of the issuer's common stock (5) that his spouse holds. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationships to the persons joining in this filing.

#### Remarks:

**Exhibit Index** 

Reporting Owners 3

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#### Exhibit 99 - Additional Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.