#### Edgar Filing: LINDNER JEREMY - Form 3

#### LINDNER JEREMY

Form 3

February 16, 2018

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement COBIZ FINANCIAL INC [COBZ]  **LINDNER JEREMY** (Month/Day/Year) 02/01/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1401 LAWRENCE ST., (Check all applicable) STE.1200 (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Chief Credit Officer Person DENVER, COÂ 80202 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â 7,857 (1) Common Stock D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 6. Nature of Indirect (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial (Month/Day/Year) **Derivative Security** or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5) Derivative Security: Title Direct (D) Security

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Non-Qualified Stock Option (right to buy)	07/20/2018	07/20/2022	Common Stock	1,000 (2)	\$ 12.7	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
LINDNER JEREMY 1401 LAWRENCE ST., STE.1200 DENVER, CO 80202	Â	Â	Chief Credit Officer	Â		

## **Signatures**

By: Lyne Andrich For: Jeremy Lindner

02/16/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Includes: i) 1771 unvested restricted shares, part of a 5315 ratably vesting restricted share award granted 7/20/2015. All unvested shares will vest on 7/20/2018; ii) 2226 unvested restricted shares, part of a 4839 ratably vesting restricted share award granted 3/7/2016.
- (1) Unvested restricted shares will vest in equal parts on 3/7/2018 and 3/7/2019; iii) Includes 2860 unvested restricted shares relating to a ratably vesting restricted share award granted 3/7/2017. Unvested restricted shares will vest in equal parts on 3/7/2018, 3/7/2019 and 3/7/2020.
- Options vest ratably over a three year period from the date of grant. Of the original 2000 shares granted on 7/20/2015, 1334 have vested and 1000 were previously exercised. On 7/20/2018 the remaining unvested options will vest and become exercisable. The option expires no later than 7/20/2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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