#### **COBIZ FINANCIAL INC**

Form 4 June 06, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

3235-0287 January 31, Expires: 2005

**OMB APPROVAL** 

Estimated average burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

2. Issuer Name and Ticker or Trading

COBIZ FINANCIAL INC [COBZ]

3. Date of Earliest Transaction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

Symbol

1(b).

(Last)

(Print or Type Responses)

**BANGERT STEVEN** 

1. Name and Address of Reporting Person \*

(First)

(Middle)

| 1401 LAW                             | RENCE ST., ST                                    |   | n/Day/Year)<br>/2017   | _X_ Director<br>_X_ Officer (g<br>below)   |  | 10% Owner Other (specify                              |  |
|--------------------------------------|--|---|--|--|--|---|--|
|                                      | (Street)   |   | mendment, Date Original  | 6. Individual or Joint/Group Filing(Check  |  |   |  |
| DENVER,                              | CO 80202   | Filed(M   | Month/Day/Year)  | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person               |  |   |  |
| (City)                               | (State)  | (Zip) Ta  | able I - Non-Derivative Securities Ac                                  | quired, Disposed   | of, or Benefic   | cially Owned  |  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year)  06/02/2017 | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price \$ | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
| Stock                                | 00/02/2017                                       |   | S 10,000 D 16.41   | 1,024,242  | ע  |   |  |
| Common<br>Stock                      |  |   |  | 135,000  | I  | by<br>Corporation                                     |  |
| Common<br>Stock                      |  |   |  | 227,871  | I  | by<br>Partnership                                     |  |
| Common<br>Stock                      |  |   |  | 20,000   | I  | by Son  |  |
| Common<br>Stock                      |  |   |  | 68,966   | I  | by Spouse   |  |

### Edgar Filing: COBIZ FINANCIAL INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.                  | 6. Date Exerci | sable and          | 7. Title  | and      | 8. Price of |
|-------------|-------------|---------------------|--------------------|------------|---------------------|----------------|--------------------|-----------|----------|-------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transact   | iorNumber           | Expiration Da  | te                 | Amoun     | nt of    | Derivative  |
| Security    | or Exercise |                     | any                | Code       | of                  | (Month/Day/Y   | (ear)              | Underl    | ying     | Security    |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative          | e              |                    | Securit   | ies      | (Instr. 5)  |
|             | Derivative  |                     |                    |            | Securities          |                |                    | (Instr. 3 | 3 and 4) |             |
|             | Security    |                     |                    |            | Acquired            |                |                    |           |          |             |
|             |             |                     |                    |            | (A) or              |                |                    |           |          |             |
|             |             |                     |                    |            | Disposed            |                |                    |           |          |             |
|             |             |                     |                    |            | of (D)              |                |                    |           |          |             |
|             |             |                     |                    |            | (Instr. 3,          |                |                    |           |          |             |
|             |             |                     |                    |            | 4, and 5)           |                |                    |           |          |             |
|             |             |                     |                    |            |                     |                |                    |           |          |             |
|             |             |                     |                    |            |                     |                |                    |           | Amount   |             |
|             |             |                     |                    |            |                     | Date           | Expiration<br>Date |           | or       |             |
|             |             |                     |                    |            |                     | Exercisable    |                    |           | Number   |             |
|             |             |                     |                    |            |                     |                |                    |           | of       |             |
|             |             |                     |                    | Code V     | $^{\prime}$ (A) (D) |                |                    |           | Shares   |             |

## **Reporting Owners**

Director 10% Owner Officer Other

BANGERT STEVEN

1401 LAWRENCE ST., STE.1200 X Chairman/CEO

DENVER, CO 80202

### **Signatures**

By: Lyne Andrich For: Steven
Bangert
06/06/2017

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Average sales price with a range of \$16.37 to \$16.45.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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