

Jassy Andrew R
Form 4
November 17, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Jassy Andrew R

(Last) (First) (Middle)

P.O. BOX 81226

(Street)

SEATTLE, WA 98108-1226

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
AMAZON COM INC [AMZN]

3. Date of Earliest Transaction
(Month/Day/Year)
11/15/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
☒ Officer (give title below) ____ Other (specify
below) below)

CEO Amazon Web Services

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$.01 per share	11/15/2017		M	4,230 A \$ 0	84,218	D	
Common Stock, par value \$.01 per share	11/15/2017		M	494 A \$ 0	84,712	D	
Common Stock, par	11/15/2017		S ⁽¹⁾	240 D \$ 1,122.9233	84,472	D	

Edgar Filing: Jassy Andrew R - Form 4

value					(2)		
\$.01 per							
share							
Common							
Stock, par							
value	11/15/2017	S(1)	400	D	\$ 1,123.765	84,072	D
\$.01 per					(3)		
share							
Common							
Stock, par							
value	11/15/2017	S(1)	345	D	\$		
\$.01 per					1,125.1188	83,727	D
share					(4)		
Common							
Stock, par							
value	11/15/2017	S(1)	600	D	\$		
\$.01 per					1,125.9717	83,127	D
share					(5)		
Common							
Stock, par							
value	11/15/2017	S(1)	200	D	\$ 1,126.645	82,927	D
\$.01 per					(6)		
share							
Common							
Stock, par							
value	11/15/2017	S(1)	100	D	\$ 1,127.82	82,827	D
\$.01 per							
share							
Common							
Stock, par							
value	11/15/2017	S(1)	100	D	\$ 1,130.05	82,727	D
\$.01 per							
share							
Common							
Stock, par							
value						487.995	I
\$.01 per							
share							
							Held by the
							reporting
							person's
							Amazon.com
							401(k) plan
							account

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Edgar Filing: Jassy Andrew R - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Restricted Stock Unit Award	\$ 0 ⁽⁷⁾	11/15/2017		M	4,230	05/15/2013 ⁽⁸⁾ 02/15/2018	Common Stock, par value \$.01 per share	4,230
Restricted Stock Unit Award	\$ 0 ⁽⁷⁾	11/15/2017		M	494	05/15/2017 ⁽⁹⁾ 02/15/2022	Common Stock, par value \$.01 per share	494

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Jassy Andrew R P.O. BOX 81226 SEATTLE, WA 98108-1226			CEO Amazon Web Services	

Signatures

/s/ Andrew R. Jassy, CEO Amazon Web Services

11/16/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (2) Represents the weighted average sale price. The highest price at which shares were sold was \$1,123.31 and the lowest price at which shares were sold was \$1,122.39.
- (3) Represents the weighted average sale price. The highest price at which shares were sold was \$1,124.17 and the lowest price at which shares were sold was \$1,123.44.

Edgar Filing: Jassy Andrew R - Form 4

- (4) Represents the weighted average sale price. The highest price at which shares were sold was \$1,125.50 and the lowest price at which shares were sold was \$1,124.56.
- (5) Represents the weighted average sale price. The highest price at which shares were sold was \$1,126.34 and the lowest price at which shares were sold was \$1,125.60.
- (6) Represents the weighted average sale price. The highest price at which shares were sold was \$1,126.69 and the lowest price at which shares were sold was \$1,126.60.
- (7) Converts into Common Stock on a one-for-one basis.

This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 1,547 shares on each of May 15, 2013, August 15, 2013, and November 15, 2013; 1,546 shares on February 15, 2014; 2,334 shares on each of May 15, 2014, August 15, 2014, November 15, 2014, and February 15, 2015; 1,280 shares on each of May 15, 2015 and August 15, 2015; 1,279 shares on each of November 15, 2015 and February 15, 2016; 5,489 shares on each of May 15, 2016, August 15, 2016, November 15, 2016, and February 15, 2017; 4,231 shares on each of May 15, 2017 and August 15, 2017; and 4,230 shares on each of November 15, 2017 and February 15, 2018.

- (8) This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 494 shares on each of May 15, 2017, August 15, 2017, and November 15, 2017; 495 shares on February 15, 2018; 952 shares on each of May 15, 2018, August 15, 2018, November 15, 2018, and February 15, 2019; 1,138 shares on May 15, 2019; 1,139 shares on each of August 15, 2019, November 15, 2019, and February 15, 2020; 2,791 shares on each of May 15, 2020, August 15, 2020, and November 15, 2020; 2,792 shares on February 15, 2021; 2,153 shares on each of May 15, 2021, August 15, 2021, and November 15, 2021; and 2,154 shares on February 15, 2022.

Remarks:

The reporting person undertakes to provide, upon request by the staff of the SEC, the issuer, or a security holder of the issuer,

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.