

UNITED TECHNOLOGIES CORP /DE/  
 Form 3/A  
 November 18, 2016

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|  |  |   |   |  |  |
|--|--|---|---|--|--|
| <p>1. Name and Address of Reporting Person *</p> <p><b>Â LEDUC ROBERT F</b></p> <p>(Last) (First) (Middle)</p> <p><b>UNITED TECHNOLOGIES CORP, Â 10 FARM SPRINGS ROAD</b></p> <p>(Street)</p> <p><b>FARMINGTON, Â CT Â 06032</b></p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p><b>11/18/2016</b></p> | <p>3. Issuer Name <b>and</b> Ticker or Trading Symbol</p> <p><b>UNITED TECHNOLOGIES CORP /DE/ [UTX]</b></p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br/> <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other<br/>                 (give title below) (specify below)<br/>                 President, Pratt &amp; Whitney</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> <p><b>01/25/2016</b></p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person<br/> <input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|--|--|---|---|--|--|

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|------------------------------------|--|---|--|
|------------------------------------|--|---|--|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security<br>(Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security:<br>Direct (D) | 6. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|---|---|--|--|---|--|
|   | Date Exercisable  | Title  | Amount or Number of                                    | Security  |  |

| Stock Appreciation Rights | 01/02/2016 | 01/01/2023 <sup>(1)</sup> | Common Stock | Shares | \$ 84 | or Indirect (I) (Instr. 5) |   |
|---------------------------|------------|---------------------------|--------------|--------|-------|----------------------------|---|
|                           |            |                           |              | 23,000 |       | D                          | Â |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                                    |       |
|--|---------------|-----------|------------------------------------|-------|
|  | Director      | 10% Owner | Officer                            | Other |
| LEDUC ROBERT F<br>UNITED TECHNOLOGIES CORP<br>10 FARM SPRINGS ROAD<br>FARMINGTON, CT 06032 | Â             | Â         | Â President,<br>Pratt &<br>Whitney | Â     |

## Signatures

/s/ Ariel R. David  
11/18/2016

Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Because of typographical errors, the Form 3 that was filed on January 25, 2016 reported that for these stock appreciation rights ("SARs") (1) the exercise date was January 3, 2016 and the expiration date was January 2, 2013. The correct dates are January 2, 2016 and January 1, 2023, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.