Golden Minerals Co Form 4 September 12, 2014

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31,

Estimated average

2005

0.5

burden hours per

response...

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Sentient Global Resources Fund IV, L.P.

(First)

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

Golden Minerals Co [AUMN]

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(Middle)

3. Date of Earliest Transaction

(Check all applicable)

LANDMARK SQUARE, 64 EARTH 09/10/2014

(Zip)

(Month/Day/Year)

Director X 10% Owner Other (specify Officer (give title below)

CLOSE, WEST BAY BEACH

**SOUTH** 

(City)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

GEORGE TOWN, GRAND CAYMAN, E9 KY1-1107

(City)	(State)	(Zip) Tal	ble I - Non	-Derivative Se	ecurities Acqu	ired, Disposed of,	or Beneficiall	y Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities	Acquired (A)	5. Amount of	6.	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transaction Disposed of (D)			Securities	Ownership	Indirect
(Instr. 3)		any	Code	(Instr. 3, 4 an	d 5)	Beneficially	Form:	Beneficial
		(Month/Day/Year)	(Instr. 8)			Owned	Direct (D)	Ownership
						Following	or Indirect	(Instr. 4)
					(4)	Reported	(I)	
					(A)	Transaction(s)	(Instr. 4)	
			C = V		or	(Instr. 3 and 4)		
			Code V	Amount	(D) Price			
common stock	09/10/2014	09/10/2014	P	5,800,000	A $\frac{\$}{0.817}$	, 10,283,944	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: Golden Minerals Co - Form 4

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	Execution Date, if any	Code	5. Number of onDerivative Securities	6. Date Exercise Expiration Date (Month/Day/Y	e	7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amour Numbe Shares
Warrants	\$ 7.17 <u>(1)</u>					03/20/2013	09/19/2017	common stock	682,
Warrants	\$ 7.17 (2)	09/10/2014		P	119,352	09/10/2014	09/19/2017	common stock	119,
Warrants	\$ 1.21 (3)	09/10/2014		P	2,900,000	03/11/2015	09/10/2019	common stock	2,900

# **Reporting Owners**

Reporting Owner Name / Address		Relationships				
		10% Owner	Officer	Other		
Sentient Global Resources Fund IV, L.P. LANDMARK SQUARE, 64 EARTH CLOSE WEST BAY BEACH SOUTH GEORGE TOWN, GRAND CAYMAN, E9 KY1-1107		X				
SENTIENT GP IV, LP P O BOX 10795 GRANDY CAYMAN KY1-1007, E9 00000				GP of 10% owner		
Sentient Executive GP IV, Ltd P O BOX 10795 GRAND CAYMAN, E9 KY1-1007				GP of GP of 10% owner		

# **Signatures**

/s/ Andrew
Pullar

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents re-pricing of Warrants due to anti-dilution adjustment.
- (2) Represents additional Warrants issued as part of anti-dilution adjustment.
- (3) Warrant acquired as part of Units at a Unit price of \$0.817. Each Unit consists of one share of common stock and a warrant to purchase one-half share of common stock at an exercise price of \$1.21 per full share of common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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