

UNIFI INC
Form 4
December 05, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
CAUDLE THOMAS H JR

(Last) (First) (Middle)
9116 GREAT MEADOWS DRIVE
(Street)

CLEMMONS, NC 27012

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
UNIFI INC [UFI]

3. Date of Earliest Transaction
(Month/Day/Year)
12/03/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)
Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
COMMON STOCK	12/03/2013		M		21,666	A	\$ 8.67
COMMON STOCK	12/03/2013		M		39,999	A	\$ 8.28
COMMON STOCK	12/03/2013		M		16,666	A	\$ 8.16
COMMON STOCK	12/03/2013		F		34,510	D	\$ 26.66
COMMON STOCK	12/03/2013		D		43,921	D	\$ 25.59
							30,806
							70,805
							87,471
							52,961
							9,040

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
STOCK OPTION (Right to Buy)	\$ 8.67	12/03/2013		M		7,222		07/26/2006	07/26/2016	COMMON STOCK	7,222
STOCK OPTION (Right to Buy)	\$ 8.67	12/03/2013		M		7,222		07/26/2007	07/26/2016	COMMON STOCK	7,222
STOCK OPTION (Right to Buy)	\$ 8.67	12/03/2013		M		7,222		07/26/2008	07/26/2016	COMMON STOCK	7,222
STOCK OPTION (Right to Buy)	\$ 8.28	12/03/2013		M		13,333		06/28/2004	06/28/2014	COMMON STOCK	13,333
STOCK OPTION (Right to Buy)	\$ 8.28	12/03/2013		M		13,333		06/28/2005	06/28/2014	COMMON STOCK	13,333
STOCK OPTION (Right to Buy)	\$ 8.28	12/03/2013		M		13,333		06/28/2006	06/28/2014	COMMON STOCK	13,333
STOCK OPTION (Right to Buy)	\$ 8.16	12/03/2013		M		16,666		<u>(1)</u>	10/24/2017	COMMON STOCK	16,666

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CAUDLE THOMAS H JR 9116 GREAT MEADOWS DRIVE CLEMMONS, NC 27012			Vice President	

Signatures

/s/Thomas H. Caudle, Jr. by Ben Sirmons,
POA 12/05/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Options vested and became exercisable on the date that the closing price of the Registrant's common stock on the New York Stock Exchange was at least \$18.00 per share for thirty (30) consecutive trading days.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.