COLUMBUS MCKINNON CORP

Form 4 July 29, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(City)

(State)

(Zip)

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Mc Carthy Liam Issuer Symbol COLUMBUS MCKINNON CORP (Check all applicable) [CMCO] (Last) (First) (Middle) 3. Date of Earliest Transaction _X__ Director 10% Owner _ Other (specify Officer (give title (Month/Day/Year) below) 205 CROSSPOINT PARKWAY 07/27/2016 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person _ Form filed by More than One Reporting GETZVILLE, NY 14068

		14010		crivative securities in	equirea, Disposeu	or, or beneficial	ily O Willea
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	onAcquired (A) or	Securities	Form: Direct	Indirect
(Instr. 3)	•	any	Code	Disposed of (D)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	Indirect (I)	Ownership
		•			Following	(Instr. 4)	(Instr. 4)
					Reported		
				(A)	Transaction(s)		
			G 1 17	or	(Instr. 3 and 4)		
			Code V	Amount (D) Price			
Common	07/27/2016		M	757 ⁽¹⁾ A \$ 0	31,594	D	
Stock	0112112010		1V1	131 <u>(4)</u> A \$0	31,394	D	
Common	07/28/2016		M	380 ⁽²⁾ A \$ 0	31,974	D	
Stock	0772672010		1V1	360 <u>~</u> A \$0	31,974	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(3)	07/27/2016		M		757.3285	<u>(4)</u>	<u>(4)</u>	Common Stock	1,514.65
Restricted Stock Units	(3)	07/28/2016		M		380.975	(5)	(5)	Common Stock	761.95

Reporting Owners

	Relationships				
Reporting Owner Name / Address	Director	10% Owner	Officer	Other	
Mc Carthy Liam 205 CROSSPOINT PARKWAY	X				
GETZVII I F NY 14068					

Signatures

Mary C. O'Connor, Power of Attorney for Liam
McCarthy

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 757.3285 restricted stock units became fully vested on July 27, 2016, of which 757 shares were issued as common stock and .3285 was issued in cash of \$5.41.
- (2) 380.975 restricted stock units became fully vested on July 28, 2016, of which 380 shares were issued as common stock and .975 was issued in cash of \$15.60.
- (3) Each restricted stock unit represents a contingent right to receive one (1) share of Columbus McKinnon Corporation common stock.
- The number of restricted stock units was previously reported as 1,514.6483 and due to rounding, the number of fractional shares (4) increased by .0088 for a total of 1,514.6571, of which 757.3285 units vested on July 27,2016 and the remaining 757.3286 units become fully vested and non-forfeitable 50% on July 27, 2017 and 50% on July 27, 2018, if reporting person remains a director of issuer.
- The number of restricted stock units was previously reported as 761.9456 and due to rounding, the number of fractional shares increased (5) by .0044 for a total of 761.950, of which 380.975 units vested on July 28,2016 and the remaining 380.975 units become fully vested and non-forfeitable on July 28, 2017, if reporting person remains a director of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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