#### COLUMBUS MCKINNON CORP

Form 4 May 25, 2016

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Paradowski Mark R

(First)

(State)

205 CROSSPOINT PARKWAY

Symbol

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Issuer

COLUMBUS MCKINNON CORP

[CMCO]

3. Date of Earliest Transaction

(Month/Day/Year) 05/23/2016

(Street)

(Middle)

(Zip)

3.

4. If Amendment, Date Original

Filed(Month/Day/Year)

Form filed by More than One Reporting Person

Director

Applicable Line)

X\_ Officer (give title

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

below)

GETZVILLE, NY 14068

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

(Check all applicable)

VP - Information Services

6. Individual or Joint/Group Filing(Check

\_X\_ Form filed by One Reporting Person

10% Owner

Other (specify

Estimated average

burden hours per

Transaction(s) (Instr. 3 and 4)

or Code V Amount (D) Price

(A)

4. Securities

Common Stock

 $7,128.6136 \frac{(1)}{}$  D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		Underlyin	7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Shar	

# **Reporting Owners**

\$ 15.16

Reporting Owner Name / Address Relationships

05/23/2016

Director 10% Owner Officer Other

Paradowski Mark R 205 CROSSPOINT PARKWAY GETZVILLE, NY 14068

**VP** - Information Services

7.537

Common

Stock

05/23/2017 05/22/2026

## **Signatures**

Non-Qualified

**Stock Options** 

(Right to Buy)

Mark R.

Paradowski 05/25/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Previously reported as 7,128.5994. The amount of restricted stock units was under by .0142 shares for a total of 1,962.6136 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 239.0910 shares become fully vested and non-forfeitable

- (1) on 5/20/2017; 640.0378 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/19/2017; and the remaining 1,083.4848 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/18/2017, if reporting person remains an employee of issuer.
- Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive (2) Plan dated as of July 26, 2010, subject to forfeiture in whole or part; options become exercisable 25% per year for four years beginning 5/23/2017, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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