Edgar Filing: TEVENS TIMOTHY T - Form 4

| TEVENS T Form 4 August 03, 2 | IMOTHY T 2011 | | | | | | | | | | |
|--|---|---|--|--|--------------------|-----------|----------------|--|--|--|--|
| FORM | ЛЛ | | | | | | | | OMB AF | PROVAL | |
| | | | | | NGE C | OMMISSION | OMB Number: | 3235-0287 | | | |
| Check the if no lon subject to Section Form 4 | ger STATEN 16. or | Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | Expires: January 31 200 Estimated average burden hours per response 0. | |
| Form 5 obligatio may cor <i>See</i> Inst 1(b). (Print or Type | ons Section 17(a nution | a) of the 1 | Public U | | ding Cor | npan | y Act of | Act of 1934, 1935 or Section) | L | | |
| 1. Name and | Address of Reporting | Person <u>*</u> | 2. Issue | r Name an o | 1 Ticker or | • Tradi | ng | 5. Relationship of l | Reporting Pers | on(s) to | |
| TEVENS TIMOTHY T | | | 2. Issuer Name and Ticker or Trading Symbol COLUMBUS MCKINNON CORP [CMCO] | | | | | Issuer (Check all applicable) | | | |
| (Month/I | | | | Pate of Earliest Transaction onth/Day/Year) 01/2011 | | | | _X_ Director 10% Owner _X_ Officer (give title Other (specify below) below) President & CEO | | | |
| | (Street) Γ, NY 14228-1197 | , | | endment, Dannent, Da | - | ıl | | 6. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by Mo Person | ne Reporting Per | rson | |
| (City) | (State) | (Zip) | Tah | le I - Non-I | Derivative | Secu | | uired, Disposed of, | or Beneficial | v Owned | |
| 1.Title of Security (Instr. 3) | Title of curity2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if | | ned 1 Date, if | 3.4. Securities AcquiredTransaction(A) or Disposed of (D)Code(Instr. 3, 4 and 5) | | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. 7. Nature Ownership Indirect Form: Direct Beneficia (D) or Ownershi Indirect (I) (Instr. 4) (Instr. 4) | | |
| Common Stock | | | | | | | | 169,540 <u>(1)</u> | D | | |
| Common Stock | 08/01/2011 | | | S | 100 (2) | D | \$ 16.62 | 169,440 | D | | |
| Common Stock | 08/01/2011 | | | S | 300 <u>(2)</u> | D | \$ 16.64 | 169,140 | D | | |
| Common Stock | 08/01/2011 | | | S | 1,200 (2) | D | \$ 16.65 | 167,940 | D | | |
| Common Stock | 08/01/2011 | | | S | 300 (2) | D | \$ 16.655 | 167,640 | D | | |

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| Common Stock | 08/01/2011 | S | 100 (2) | D | \$ 16.67 | 167,540 | D | |
|-----------------|------------|---|---------|---|----------|---------------------------|---|-----------|
| Common Stock | 08/01/2011 | S | 2 (2) | D | \$ 16.72 | 167,538 | D | |
| Common Stock | | | | | | 5,756.2592 (<u>3)</u> | D | |
| Common Stock | | | | | | 7,000 | Ι | By spouse |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---|--|--------------------|---|-------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Incentive Stock Options (Right to Buy) | \$ 5.46 | | | | | 05/17/2005 | 05/16/2014 | Common Stock | 95,000 |
| Incentive Stock Options (Right to Buy) | \$ 28.45 | | | | | 05/19/2009 | 05/18/2018 | Common Stock | 8,770 |
| Non-Qualified Stock Options (Right to Buy) | \$ 13.27 | | | | | 05/18/2010 | 05/17/2019 | Common Stock | 45,172 |
| Non-Qualified Stock Options (Right to Buy) | \$ 18.24 | | | | | 05/17/2011 | 05/16/2020 | Common Stock | 33,190 |
| Non-Qualified Stock Options (Right to Buy) | \$ 19.5 | | | | | 05/23/2011 | 05/22/2021 | Common Stock | 31,902 |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | | |
|---|------------|---------------|--------------------|---------|-------|--|--|--|
| | | | 10% Owner | Officer | Other | | | |
| TEVENS TIMOTHY 140 JOHN JAMES A AMHERST, NY 142 | Х | | President & CEO | | | | | |
| Signatures | | | | | | | | |
| Timothy T. Tevens | 08/03/2011 | | | | | | | |
| <u>**</u> Signature of Reporting Person | Date | | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 57,775 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 12,055 shares become fully vested and non-forfeitable 50% for two years beginning 5/18/2012; 3,086 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/19/2012, 5,133 shares become fully vested and non-forfeitable 50% per year for two years beginning

- (1) for two years beginning 5/15/2012, 5/15/3 states become fully vested and hon-forfeitable 33.33% per year for three years beginning 5/17/2012, and the remaining 16,897 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (2) Sale of shares pursuant to 10b5-1 Plan.

an employee of issuer.

- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employoee Stock Ownership Plan, as amended (the "ESOP").
- (4) Originally a 125,000 incentive stock option grant, reporting person exercised 30,000 options on 5/27/2009. The remaining 95,000 options are fully exercisable, subject to IRS limitations.
- (5) Exercisable 25% per year for four years, beginning 5/19/09, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.

Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive(8) Plan dated as of July 26, 2010; options become exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.