

Edgar Filing: NEW GERMANY FUND INC - Form NSAR-B

NEW GERMANY FUND INC

Form NSAR-B

February 28, 2014

PAGE 1
000 B000000 12/31/2013
000 C000000 0000858706
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 U
001 A000000 THE NEW GERMANY FUND, INC.
001 B000000 811-05983
001 C000000 2122503220
002 A000000 345 PARK AVENUE
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10154
002 D020000 5808
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 DEUTSCHE ASSET & WEALTH MANAGEMENT INTL GMBH
008 B000001 A
008 C000001 801-20289
008 D010001 FRANKFURT
008 D050001 GERMANY
008 D060001 60327
010 A000001 DEUTSCHE INVESTMENT MANAGEMENT AMERICAS INC.
010 B000001 801-252
010 C010001 NEW YORK
010 C020001 NY
010 C030001 10154
010 A000002 BROWN BROTHERS HARRIMAN & CO.
010 B000002 801-60256
010 C010002 BOSTON
010 C020002 MA
010 C030002 02110
012 A000001 DWS INVESTMENTS SERVICE COMPANY
012 B000001 84-1713
PAGE 2
012 C010001 KANSAS CITY
012 C020001 MO
012 C030001 64105
012 A000002 DST SYSTEMS, INC.
012 B000002 84-00448
012 C010002 KANSAS CITY

Edgar Filing: NEW GERMANY FUND INC - Form NSAR-B

012 C020002 MO
012 C030002 64105
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 BOSTON
013 B020001 MA
013 B030001 02110
014 A000001 BHF-BANK AG
014 B000001 8-00000
014 A000002 BT OPERA TRADING S.A.
014 B000002 8-00000
014 A000003 CRAIGS INVESTMENT PARTNERS LIMITED
014 B000003 8-00000
014 A000004 DB EQUITY LIMITED
014 B000004 8-00000
014 A000005 DB INTERNATIONAL (ASIA) LIMITED
014 B000005 8-00000
014 A000006 DB SECURITIES S.A.
014 B000006 8-00000
014 A000007 DB STRATEGIC ADVISORS, INC.
014 B000007 8-00000
014 A000008 DEUTSCHE BANK AG, FILIALE ATHEN
014 B000008 8-00000
014 A000009 DEUTSCHE BANK AG, FILIALE AUCKLAND
014 B000009 8-00000
014 A000010 DEUTSCHE BANK AG, FILIALE BANGALORE
014 B000010 8-00000
014 A000011 DEUTSCHE BANK AG, FILIALE CHENNAI
014 B000011 8-00000
014 A000012 DEUTSCHE BANK AG, FILIALE COLOMBO - FCBU
014 B000012 8-00000
014 A000013 DEUTSCHE BANK AG, FILIALE HELSINKI
014 B000013 8-00000
014 A000014 DEUTSCHE BANK AG, FILIALE KALKUTTA
014 B000014 8-00000
014 A000015 DEUTSCHE BANK AG, MANILA
014 B000015 8-00000
014 A000016 DEUTSCHE BANK AG, FILIALE MUMBAI
014 B000016 8-00000
014 A000017 DEUTSCHE BANK AG, FILIALE NEW DELHI
014 B000017 8-00000
014 A000018 DEUTSCHE BANK AG, FILIALE PRAG
014 B000018 8-00000
014 A000019 DEUTSCHE BANK AG, FILIALE RIAD
014 B000019 8-00000
014 A000020 DEUTSCHE BANK AG, FILIALE STOCKHOLM
PAGE 3
014 B000020 8-00000
014 A000021 DEUTSCHE BANK AG, FILIALE UNGARN
014 B000021 8-00000
014 A000022 DEUTSCHE BANK AG, FILIALE ZURICH
014 B000022 8-00000
014 A000023 DEUTSCHE BANK CORRETORA DE VALORES S.A.
014 B000023 8-00000
014 A000024 DEUTSCHE BANK SECURITIES INC.
014 B000024 8-00000
014 A000025 DEUTSCHE BANK SECURITIES LIMITED
014 B000025 8-00000
014 A000026 DEUTSCHE BANK SOCIETA PER ANZIONI
014 B000026 8-00000
014 A000027 DEUTSCHE BANK, SOCIETA ANONIMA ESPANOLA
014 B000027 8-00000
014 A000028 DEUTSCHE CAPITAL MARKETS AUSTRALIA LIMITED

Edgar Filing: NEW GERMANY FUND INC - Form NSAR-B

014 B000028 8-00000
014 A000029 DEUTSCHE EQUITIES INDIA PRIVATE LIMITED
014 B000029 8-00000
014 A000030 DEUTSCHE FUTURES SINGAPORE PTE LTD.
014 B000030 8-00000
014 A000031 DEUTSCHE MANAGED INVESTMENTS LIMITED
014 B000031 8-00000
014 A000032 DEUTSCHE POSTBANK AG
014 B000032 8-00000
014 A000033 DEUTSCHE REGIS PARTNERS INC.
014 B000033 8-00000
014 A000034 DEUTSCHE SECURITIES (PROPRIETARY) LIMITED
014 B000034 8-00000
014 A000035 DEUTSCHE SECURITIES ASIA LIMITED
014 B000035 8-00000
014 A000036 DEUTSCHE SECURITIES ASIA LIMITED, SINGAPORE B
014 B000036 8-00000
014 A000037 DEUSTECH SECURITIES ASIA LIMITED TAIPEI BRANC
014 B000037 8-00000
014 A000038 DEUTSCHE SECURITIES AUSTRALIA LIMITED
014 B000038 8-00000
014 A000039 DEUTSCHE SECURITIES CORREDORES DE BOLSA SPA
014 B000039 8-00000
014 A000040 DEUTSCHE SECURITIES INC.
014 B000040 8-00000
014 A000041 DEUTSCHE SECURITIES ISRAEL LTD.
014 B000041 8-00000
014 A000042 DEUTSCHE SECURITIES KOREA CO.
014 B000042 8-00000
014 A000043 DEUTSCHE SECURITIES LIMITED
014 B000043 8-00000
014 A000044 DEUTSCHE SECURITIES MENKUL DEGERLER A.S.
014 B000044 8-00000
014 A000045 DEUTSCHE SECURITIES NEW ZEALAND LIMITED
014 B000045 8-00000
PAGE 4
014 A000046 DEUTSCHE SECURITIES SAUDI ARABIA LLC
014 B000046 8-00000
014 A000047 DEUTSCHE SECURITIES SOCIEDAD DE BOLSA S.A.
014 B000047 8-00000
014 A000048 DEUTSCHE SECURITIES VENEZUELA S.A.
014 B000048 8-00000
014 A000049 DEUTSCHE SECURITIES, S.A. DE C.V. CASA DE BOL
014 B000049 8-00000
014 A000050 DMG & PARTNERS SECURITIES PTE LTD.
014 B000050 8-00000
014 A000051 DWS INVESTMENTS DISTRIBUTORS, INC.
014 B000051 8-00000
014 A000052 GORDIAN KNOT INC.
014 B000052 8-00000
014 A000053 K & N KENANGA HOLDINGS BHD
014 B000053 8-00000
014 A000054 KENANGA DEUTSCHE FUTURES SDN BHD
014 B000054 8-00000
014 A000055 LONDON DRY BULK LIMITED
014 B000055 8-00000
014 A000056 OOO "DEUTSCHE BANK"
014 B000056 8-00000
014 A000057 PT. DEUTSCHE SECURITIES INDONESIA
014 B000057 8-00000
014 A000058 PT. DEUTSCHE VERDHANA INDONESIA
014 B000058 8-00000

Edgar Filing: NEW GERMANY FUND INC - Form NSAR-B

014 A000059 PUBLIC JOINT-STOCK COMPANY "DEUTSCHE BANK DBU
014 B000059 8-00000
014 A000060 DELETE
014 A000061 SAL. OPPENHEIM JR. & CIE AG & CO. KGAA
014 B000061 8-00000
014 A000062 TILNEY INVESTMENT MANAGEMENT
014 B000062 8-00000
014 A000063 YELDBROKER PTY LIMITED
014 B000063 8-00000
014 A000064 ZAO "UFG INVEST"
014 B000064 8-00000
014 A000065 DELETE
014 A000066 DELETE
014 A000067 DELETE
014 A000068 DELETE
014 A000069 DELETE
014 A000070 DELETE
014 A000071 DELETE
014 A000072 DELETE
014 A000073 DELETE
014 A000074 DELETE
014 A000075 DELETE
014 A000076 DELETE
014 A000077 DELETE
014 A000078 DELETE

PAGE 5

014 A000079 DELETE
014 A000080 DELETE
014 A000081 DELETE
014 A000082 DELETE
014 A000083 DELETE
014 A000084 DELETE
014 A000085 DELETE
014 A000086 DELETE
014 A000087 DELETE
014 A000088 DELETE
014 A000089 DELETE
014 A000090 DELETE
014 A000091 DELETE
014 A000092 DELETE
015 A000001 BROWN BROTHERS HARRIMAN & CO.
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02110
015 E010001 X
015 A000002 BANCO BILBAO VIZCAYA ARGENTARIA SA
015 B000002 S
015 C010002 MADRID
015 D010002 SPAIN
015 D020002 28002
015 E040002 X
015 A000003 BNP PARIBAS SECURITIES SERVICES
015 B000003 S
015 C010003 PANTIN
015 D010003 BELGIUM
015 D020003 93500
015 E040003 X
015 A000004 BNP PARIBAS SECURITIES SERVICES
015 B000004 S
015 C010004 PANTIN
015 D010004 FRANCE

Edgar Filing: NEW GERMANY FUND INC - Form NSAR-B

015 D020004 75002
015 E040004 X
015 A000005 BNP PARIBAS SECURITIES SERVICES
015 B000005 S
015 C010005 PANTIN
015 D010005 NETHERLANDS
015 D020005 75002
015 E040005 X
015 A000006 BNP PARIBAS SECURITIES SERVICES
015 B000006 S
015 C010006 PANTIN
015 D010006 PORTUGAL
015 D020006 75002
015 E040006 X
015 A000007 BNP PARIBAS SECURITIES SERVICES, FRANKFURT BR

PAGE 6

015 B000007 S
015 C010007 FRANKFURT
015 D010007 GERMANY
015 D020007 60327
015 E040007 X
015 A000008 BNP PARIBAS SECURITIES SERVICES, MILAN BRANCH
015 B000008 S
015 C010008 MILAN
015 D010008 ITALY
015 D020008 20123
015 E040008 X
015 A000009 CITIBANK ANONIM SIRKETI
015 B000009 S
015 C010009 ISTANBUL
015 D010009 TURKEY
015 D020009 34394
015 E040009 X
015 A000010 CITIBANK, N.A., LONDON BRANCH
015 B000010 S
015 C010010 LONDON
015 D010010 IRELAND
015 D020010 E14 5LB
015 E040010 X
015 A000011 CREDIT SUISSE AG
015 B000011 S
015 C010011 ZURICH
015 D010011 SWITZERLAND
015 D020011 8070
015 E040011 X
015 A000012 HSBC BANK PLC
015 B000012 S
015 C010012 LONDON
015 D010012 UNITED KINGDOM
015 D020012 E14 5HQ
015 E040012 X
015 A000013 HSBC BANK PLC, ATHENS BRANCH
015 B000013 S
015 C010013 ATHENS
015 D010013 GREECE
015 D020013 11526
015 E040013 X
015 A000014 NORDEA BANK FINLAND PLC
015 B000014 S
015 C010014 HELSINKI
015 D010014 FINLAND
015 D020014 FI-0020

Edgar Filing: NEW GERMANY FUND INC - Form NSAR-B

015 E040014 X
015 A000015 SKANDINAVISKA ENSKILDA BANKEN AB (PUBL)
015 B000015 S
015 C010015 STOCKHOLM
015 D010015 SWEDEN
PAGE 7
015 D020015 SE-106 40
015 E040015 X
015 A000016 SKANDINAVISKA ENSKILDA BANKEN AB, DANMARK BRA
015 B000016 S
015 C010016 COPENHAGEN
015 D010016 DENMARK
015 D020016 DK-1014
015 E040016 X
015 A000017 SKANDINAVISKA ENSKILDA BANKEN AB, OSLO BRANCH
015 B000017 S
015 C010017 OSLO
015 D010017 NORWAY
015 D020017 0123
015 E040017 X
015 A000018 UNICREDIT BANK AUSTRIA AG
015 B000018 S
015 C010018 VIENNA
015 D010018 AUSTRIA
015 D020018 A-1090
015 E040018 X
015 A000019 DELETE
015 A000020 DELETE
018 000000 Y
019 A000000 Y
019 B000000 3
019 C000000 GERMANYFDS
020 A000001 UBS SECURITIES LLC
020 B000001 13-3873456
020 C000001 125
020 A000002 BERENBERG CAPITAL MARKETS LLC
020 B000002 27-4425934
020 C000002 84
020 A000003 GOLDMAN, SACHS & CO.
020 B000003 13-5108880
020 C000003 80
020 A000004 M.M. WARBURG & CO.
020 B000004 00-0000000
020 C000004 74
020 A000005 J.P. MORGAN SECURITIES LLC
020 B000005 13-3299429
020 C000005 72
020 A000006 HSBC SECURITIES (USA) INC.
020 B000006 13-2650272
020 C000006 49
020 A000007 SANFORD C. BERNSTEIN & CO. LLC
020 B000007 13-4132953
020 C000007 35
020 A000008 CITIGROUPG GLOBAL MARKETS INC.
020 B000008 11-2418191
020 C000008 33
020 A000009 EQUINET BANK AG
PAGE 8
020 B000009 00-0000000
020 C000009 32
020 A000010 MORGAN STANLEY & CO. LLC
020 B000010 13-2655998

Edgar Filing: NEW GERMANY FUND INC - Form NSAR-B

020 C000010 32
021 000000 823
022 A000001 DWS FUND SSS
022 B000001 00-0000000
022 C000001 97187
022 D000001 110247
022 A000002 STATE STREET GLOBAL MARKETS, LLC
022 B000002 04-3464336
022 C000002 17537
022 D000002 4018
022 A000003 EXANE, INC.
022 B000003 13-3901039
022 C000003 2654
022 D000003 0
022 A000004 UBS SECURITIES LLC
022 B000004 13-3873456
022 C000004 0
022 D000004 833
022 A000005 BERENBERG CAPITAL MARKETS LLC
022 B000005 27-4425934
022 C000005 654
022 D000005 0
022 A000006 BANKHAUS LAMPE KG
022 B000006 00-0000000
022 C000006 431
022 D000006 0
022 C000007 0
022 D000007 0
022 C000008 0
022 D000008 0
022 C000009 0
022 D000009 0
022 C000010 0
022 D000010 0
023 C000000 118463
023 D000000 115098
024 000000 N
025 D000001 0
025 D000002 0
025 D000003 0
025 D000004 0
025 D000005 0
025 D000006 0
025 D000007 0
025 D000008 0
026 A000000 N
026 B000000 Y
PAGE 9
026 C000000 N
026 D000000 Y
026 E000000 N
026 F000000 N
026 G010000 N
026 G020000 N
026 H000000 N
027 000000 N
028 A010000 0
028 A020000 0
028 A030000 0
028 A040000 0
028 B010000 0
028 B020000 0

Edgar Filing: NEW GERMANY FUND INC - Form NSAR-B

028	B030000	0
028	B040000	0
028	C010000	0
028	C020000	0
028	C030000	0
028	C040000	0
028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00
031	A000000	0
031	B000000	0
032	000000	0
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
042	D000000	0
	PAGE	10
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	0.000
048	A010000	100000
048	A020000	0.800
048	B010000	500000
048	B020000	0.600
048	C010000	0
048	C020000	0.000
048	D010000	0
048	D020000	0.000
048	E010000	0
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000

Edgar Filing: NEW GERMANY FUND INC - Form NSAR-B

048 H010000 0
 048 H020000 0.000
 048 I010000 0
 048 I020000 0.000
 048 J010000 0
 048 J020000 0.000
 048 K010000 500000
 048 K020000 0.550
 049 000000 N
 050 000000 N
 051 000000 N
 052 000000 N
 053 A000000 N
 054 A000000 Y
 054 B000000 Y
 054 C000000 N
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 N
 054 H000000 Y
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 054 L000000 N
 054 M000000 Y
 054 N000000 N
 PAGE 11
 054 O000000 N
 055 A000000 N
 055 B000000 N
 056 000000 Y
 057 000000 N
 058 A000000 N
 059 000000 Y
 060 A000000 Y
 060 B000000 Y
 061 000000 0
 062 A000000 N
 062 B000000 0.0
 062 C000000 0.0
 062 D000000 0.0
 062 E000000 0.0
 062 F000000 0.0
 062 G000000 0.0
 062 H000000 0.0
 062 I000000 0.0
 062 J000000 0.0
 062 K000000 0.0
 062 L000000 0.0
 062 M000000 0.0
 062 N000000 0.0
 062 O000000 0.0
 062 P000000 0.0
 062 Q000000 0.0
 062 R000000 0.0
 063 A000000 0
 063 B000000 0.0
 066 A000000 Y
 066 B000000 N
 066 C000000 Y
 066 D000000 N

Edgar Filing: NEW GERMANY FUND INC - Form NSAR-B

066 E000000 N
 066 F000000 N
 066 G000000 N
 067 000000 N
 068 A000000 N
 068 B000000 Y
 069 000000 N
 070 A010000 Y
 070 A020000 N
 070 B010000 Y
 070 B020000 N
 070 C010000 Y
 070 C020000 N
 070 D010000 Y
 070 D020000 N
 070 E010000 Y
 070 E020000 N
 PAGE 12
 070 F010000 Y
 070 F020000 N
 070 G010000 N
 070 G020000 N
 070 H010000 N
 070 H020000 N
 070 I010000 Y
 070 I020000 N
 070 J010000 Y
 070 J020000 N
 070 K010000 Y
 070 K020000 Y
 070 L010000 Y
 070 L020000 Y
 070 M010000 Y
 070 M020000 Y
 070 N010000 Y
 070 N020000 Y
 070 O010000 N
 070 O020000 N
 070 P010000 N
 070 P020000 N
 070 Q010000 N
 070 Q020000 N
 070 R010000 N
 070 R020000 N
 071 A000000 215972
 071 B000000 244309
 071 C000000 324031
 071 D000000 67
 072 A000000 12
 072 B000000 5
 072 C000000 6613
 072 D000000 0
 072 E000000 513
 072 F000000 2187
 072 G000000 661
 072 H000000 0
 072 I000000 21
 072 J000000 137
 072 K000000 0
 072 L000000 126
 072 M000000 155
 072 N000000 0

Edgar Filing: NEW GERMANY FUND INC - Form NSAR-B

072 O000000 0
 072 P000000 0
 072 Q000000 0
 072 R000000 80
 072 S000000 191
 072 T000000 0
 072 U000000 0

PAGE 13

072 V000000 0
 072 W000000 129
 072 X000000 3687
 072 Y000000 0
 072 Z000000 3444
 072AA000000 85784
 072BB000000 5739
 072CC010000 44079
 072CC020000 0
 072DD010000 0
 072DD020000 0
 072EE000000 0
 073 A010000 0.3280
 073 A020000 0.0000
 073 B000000 4.1530
 073 C000000 0.0000
 074 A000000 2833
 074 B000000 0
 074 C000000 7917
 074 D000000 0
 074 E000000 15220
 074 F000000 365801
 074 G000000 0
 074 H000000 0
 074 I000000 0
 074 J000000 2555
 074 K000000 0
 074 L000000 95
 074 M000000 27
 074 N000000 394448
 074 O000000 2792
 074 P000000 276
 074 Q000000 0
 074 R010000 0
 074 R020000 0
 074 R030000 0
 074 R040000 74319
 074 S000000 0
 074 T000000 317061
 074 U010000 14929
 074 U020000 0
 074 V010000 21.24
 074 V020000 0.00
 074 W000000 0.0000
 074 X000000 870
 074 Y000000 0
 075 A000000 0
 075 B000000 326447
 076 000000 19.93

077 A000000 Y
 077 B000000 Y

PAGE 14

078 000000 N
 080 A000000 NATIONAL UNION FIRE INSURANCE COMPANY

Edgar Filing: NEW GERMANY FUND INC - Form NSAR-B

080	C000000	2325	
081	A000000	Y	
081	B000000	2	
082	A000000	Y	
082	B000000	25	
083	A000000	N	
083	B000000	0	
084	A000000	N	
084	B000000	0	
085	A000000	Y	
085	B000000	N	
086	A010000	302	
086	A020000	5143	
086	B010000	1464	
086	B020000	29478	
086	C010000	0	
086	C020000	0	
086	D010000	0	
086	D020000	0	
086	E010000	0	
086	E020000	0	
086	F010000	0	
086	F020000	0	
087	A010000	COMMON STOCK	
087	A020000	644465106	
087	A030000	GF	
088	A000000	N	
088	B000000	N	
088	C000000	N	
088	D000000	Y	
035	001900	0	
036	B001900	0	
SIGNATURE	PAUL SCHUBERT		
TITLE	CFO AND TREASURER		