

Edgar Filing: NEW GERMANY FUND INC - Form NSAR-B

NEW GERMANY FUND INC

Form NSAR-B

March 01, 2010

PAGE 1  
000 B000000 12/31/2009  
000 C000000 0000858706  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 THE NEW GERMANY FUND, INC.  
001 B000000 811-05983  
001 C000000 2124547190  
002 A000000 345 PARK AVENUE  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10154  
002 D020000 5808  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 DEUTSCHE ASSET MANAGEMENT INTERNATIONAL GMBH  
008 B000001 A  
008 C000001 801-20289  
008 D010001 FRANKFURT  
008 D050001 GERMANY  
008 D060001 60327  
008 A000002 DEUTSCHE INVESTMENT MANAGEMENT AMERICAS INC.  
008 B000002 A  
008 C000002 801-252  
008 D010002 NEW YORK  
008 D020002 NY  
008 D030002 10154  
010 A000001 BROWN BROTHERS HARRIMAN & CO.  
010 B000001 801-  
010 C010001 BOSTON  
010 C020001 MA  
010 C030001 02109  
010 A000002 DELETE  
PAGE 2  
012 A000001 DWS INVESTMENTS SERVICE COMPANY  
012 B000001 84-1713  
012 C010001 KANSAS CITY  
012 C020001 MO  
012 C030001 64105  
012 A000002 DST SYSTEMS, INC

Edgar Filing: NEW GERMANY FUND INC - Form NSAR-B

012 B000002 84-00448  
012 C010002 KANSAS CITY  
012 C020002 MO  
012 C030002 64105  
013 A000001 PRICewaterhouseCOOPERS LLP  
013 B010001 BOSTON  
013 B020001 MA  
013 B030001 02110  
014 A000001 ALPHA INVESTMENT MANAGEMENT S.A.M.  
014 B000001 8-00000  
014 A000002 AO DB SECURITIES (KAZAKHSTAN)  
014 B000002 8-00000  
014 A000003 DEUTSCHE SECURITIES MENKUL DEGERLER AS  
014 B000003 8-00000  
014 A000004 BT OPERA TRADING S.A.  
014 B000004 8-00000  
014 A000005 DB EQUITY LIMITED  
014 B000005 8-00000  
014 A000006 DEUTSCHE SECURITIES INC.  
014 B000006 8-00000  
014 A000007 DB INTERNATIONAL (ASIA) LIMITED  
014 B000007 8-00000  
014 A000008 DB SECURITIES SA  
014 B000008 8-00000  
014 A000009 DB SECURITIES SERVICES NJ INC.  
014 B000009 8-00000  
014 A000010 DEUTSCHE POSTBANK AG  
014 B000010 8-00000  
014 A000011 DEAM INVESTOR SERVICES, INC.  
014 B000011 8-00000  
014 A000012 DEUTSCHE SECURITIES SAUDI ARABIA  
014 B000012 8-00000  
014 A000013 DEUTSCHE BANK AG, FILIALE AUCKLAND  
014 B000013 8-00000  
014 A000014 DEUTSCHE BANK AG, FILIALE BANGALORE  
014 B000014 8-00000  
014 A000015 DEUTSCHE BANK AG, FILIALE CALCUTTA  
014 B000015 8-00000  
014 A000016 DEUTSCHE BANK AG, FILIALE CHENNAI  
014 B000016 8-00000  
014 A000017 HANOI BUILDING COMMERCIAL JOINT STOCK BANK  
014 B000017 8-00000  
014 A000018 DEUTSCHE BANK AG, FILIALE COLOMBO-FOREIGN CUR  
014 B000018 8-00000  
014 A000019 DEUTSCHE BANK AG, FILIALE HELSINKI  
PAGE 3  
014 B000019 8-00000  
014 A000020 DEUTSCHE BANK AG, FILIALE MANILA  
014 B000020 8-00000  
014 A000021 DEUTSCHE BANK AG, FILIALE MUMBAI  
014 B000021 8-00000  
014 A000022 DEUTSCHE BANK AG, FILIALE NEW DELHI  
014 B000022 8-00000  
014 A000023 DEUTSCHE BANK AG, FILIALE PRAG  
014 B000023 8-00000  
014 A000024 DEUTSCHE BANK AG, FILIALE RIAD  
014 B000024 8-00000  
014 A000025 DEUTSCHE BANK AG, FILIALE STOCKHOLM  
014 B000025 8-00000  
014 A000026 DEUTSCHE BANK AG, FILIALE ZURICH  
014 B000026 8-00000  
014 A000027 DEUTSCHE BANK CORRETORA DE VALORES SA

Edgar Filing: NEW GERMANY FUND INC - Form NSAR-B

014 B000027 8-00000  
014 A000028 DEUTSCHE BANK SECURITIES INC.  
014 B000028 8-00000  
014 A000029 DEUTSCHE BANK SECURITIES INC., 280 PARK AVE.  
014 B000029 8-00000  
014 A000030 DEUTSCHE MANAGED INVESTMENTS LIMITED  
014 B000030 8-00000  
014 A000031 DEUTSCHE BANK SECURITIES INC., CHICAGO  
014 B000031 8-00000  
014 A000032 DEUTSCHE BANK SECURITIES INC., LAS VEGAS  
014 B000032 8-00000  
014 A000033 DEUTSCHE BANK SECURITIES INC., MEMPHIS  
014 B000033 8-00000  
014 A000034 DEUTSCHE BANK SECURITIES INC., MIAMI  
014 B000034 8-00000  
014 A000035 DEUTSCHE BANK SECURITIES LIMITED  
014 B000035 8-00000  
014 A000036 DEUTSCHE BANK SECURITIES, INC. D.C.  
014 B000036 8-00000  
014 A000037 DEUTSCHE BANK SECURITIES, INC., ATLANTA  
014 B000037 8-00000  
014 A000038 DEUTSCHE BANK SECURITIES, INC., BALTIMORE  
014 B000038 8-00000  
014 A000039 DEUTSCHE BANK SECURITIES, INC., BOSTON  
014 B000039 8-00000  
014 A000040 DEUTSCHE BANK SECURITIES, INC., DALLAS  
014 B000040 8-00000  
014 A000041 DEUTSCHE BANK SECURITIES, INC., GREENWICH  
014 B000041 8-00000  
014 A000042 DEUTSCHE BANK SECURITIES, INC., HOUSTON  
014 B000042 8-00000  
014 A000043 DEUTSCHE BANK SECURITIES, INC., LA CIB BRANCH  
014 B000043 8-00000  
014 A000044 DEUTSCHE BANK SECURITIES, INC., PALM BEACH  
014 B000044 8-00000  
PAGE 4  
014 A000045 DEUTSCHE BANK SECURITIES, INC., PHILADELPHIA  
014 B000045 8-00000  
014 A000046 DEUTSCHE BANK SECURITIES, INC., SAN FRANCISCO  
014 B000046 8-00000  
014 A000047 DEUTSCHE BANK SECURITIES, INC., WINSTON-SALEM  
014 B000047 8-00000  
014 A000048 BATS TRADING, INC.  
014 B000048 8-00000  
014 A000049 DEUTSCHE BANK SOCIETA PER AZIONI  
014 B000049 8-00000  
014 A000050 DB ZARTKORUEN MUKODO RESVENYTARSASAG  
014 B000050 8-00000  
014 A000051 DEUTSCHE CANADA INVESTOR SERVICES CO.  
014 B000051 8-00000  
014 A000052 DEUTSCHE CAPITAL MARKETS AUSTRALIA LIMITED  
014 B000052 8-00000  
014 A000053 DEUTSCHE EQUITIES INDIA PRIVATE LIMITED  
014 B000053 8-00000  
014 A000054 DEUTSCHE FUTURES SINGAPORE PTE LIMITED  
014 B000054 8-00000  
014 A000055 DEUTSCHE REGIS PARTNERS, INC.  
014 B000055 8-00000  
014 A000056 DEUTSCHE SECURITIES (INDIA) PRIVATE LIMITED  
014 B000056 8-00000  
014 A000057 DEUTSCHE SECURITIES (PROPRIETARY) LIMITED  
014 B000057 8-00000

Edgar Filing: NEW GERMANY FUND INC - Form NSAR-B

014 A000058 DEUTSCHE SECURITIES ASIA LIMITED  
014 B000058 8-00000  
014 A000059 DEUTSCHE SECURITIES ASIA LIMITED SINGAPORE  
014 B000059 8-00000  
014 A000060 DEUTSCHE SECURITIES ASIA LIMITED TAIPEI  
014 B000060 8-00000  
014 A000061 DEUTSCHE SECURITIES AUSTRALIA LIMITED  
014 B000061 8-00000  
014 A000062 DEUTSCHE SECURITIES CORREDORES DE BOLSA LTDA  
014 B000062 8-00000  
014 A000063 DB SECURITIES SERVICES NJ, INC JACKSONVILLE  
014 B000063 8-00000  
014 A000064 DEUTSCHE SECURITIES ISRAEL LTD.  
014 B000064 8-00000  
014 A000065 DEUTSCHE SECURITIES KOREA CO.  
014 B000065 8-00000  
014 A000066 DEUTSCHE SECURITIES LIMITED  
014 B000066 8-00000  
014 A000067 DEUTSCHE SECURITIES SOCIEDAD DE BOLSA SA  
014 B000067 8-00000  
014 A000068 DEUTSCHE SECURITIES VENEZUELA SA  
014 B000068 8-00000  
014 A000069 DEUTSCHE BANK SECURITIES INC., LA PWM BRANCH  
014 B000069 8-00000  
014 A000070 DEUTSCHE SECURITIES, SA DE CV CASA DE BOLSA

PAGE 5

014 B000070 8-00000  
014 A000071 DMG & PARTNERS SECURITIES PTE LTD.  
014 B000071 8-00000  
014 A000072 DWS INVESTMENTS DISTRIBUTORS, INC.  
014 B000072 8-00000  
014 A000073 K&N KENANGA HOLDINGS BHD  
014 B000073 8-00000  
014 A000074 KENANGA DEUTSCHE FUTURES SDN BHD  
014 B000074 8-00000  
014 A000075 DELETE  
014 A000076 OOO "DEUTSCHE BANK"  
014 B000076 8-00000  
014 A000077 PT DEUTSCHE SECURITIES INDONESIA  
014 B000077 8-00000  
014 A000078 PT DEUTSCHE VENDANA INDONESIA  
014 B000078 8-00000  
014 A000079 TILNEY INVESTMENT MANAGEMENT  
014 B000079 8-00000  
014 A000080 DB STRATEGIC ADVISORS, INC.  
014 B000080 8-00000  
014 A000081 DEUTSCHE BANK SECURITIES, INC. LA PWM BRANCH  
014 B000081 8-00000  
014 A000082 U.F.G.I.S. TRADING LIMITED  
014 B000082 8-00000  
014 A000083 DELETE  
014 A000084 YIELDBROKER PYT LTD  
014 B000084 8-00000  
014 A000085 ZAO DEUTSCHE SECURITIES  
014 B000085 8-00000  
014 A000086 DEUTSCHE BANK SECURITIES, INC. COSTA MESA  
014 B000086 8-00000  
014 A000087 LONDON DRY BULK LIMITED  
014 B000087 8-00000  
014 A000088 DEUTSCHE BANK SECURITIES INC., JACKSONVILLE  
014 B000088 8-00000  
014 A000089 TILNEY INVESTMENT MANAGEMENT

Edgar Filing: NEW GERMANY FUND INC - Form NSAR-B

014 B000089 8-00000  
015 A000001 BROWN BROTHERS HARRIMAN & CO.  
015 B000001 C  
015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02109  
015 E010001 X  
015 A000002 BANCO BILBAO VIZCAYA, SPAIN  
015 B000002 S  
015 C010002 MADRID  
015 D010002 SPAIN  
015 E040002 X  
015 A000003 UNICREDIT BANK AUSTRIA AG  
015 B000003 S  
015 C010003 VIENNA  
    PAGE 6  
015 D010003 AUSTRIA  
015 E040003 X  
015 A000004 BANK OF IRELAND  
015 B000004 S  
015 C010004 DUBLIN  
015 D010004 IRELAND  
015 E040004 X  
015 A000005 BNP PARIBAS FRANKFURT  
015 B000005 S  
015 C010005 FRANKFURT  
015 D010005 GERMANY  
015 E040005 X  
015 A000006 BNP PARIBAS SECURITIES SERVICES, MILAN  
015 B000006 S  
015 C010006 MILAN  
015 D010006 ITALY  
015 E040006 X  
015 A000007 BNP PARIBAS, FRANCE  
015 B000007 S  
015 C010007 PARIS  
015 D010007 FRANCE  
015 E040007 X  
015 A000008 BNP PARIBAS, LISBON  
015 B000008 S  
015 C010008 LISBOA  
015 D010008 PORTUGAL  
015 E040008 X  
015 A000009 CITIBANK, TURKEY  
015 B000009 S  
015 C010009 ISTANBUL  
015 D010009 TURKEY  
015 E040009 X  
015 A000010 DNB NOR ASA, NORWAY  
015 B000010 S  
015 C010010 OSLO  
015 D010010 NORWAY  
015 E040010 X  
015 A000011 EUROCLEAR  
015 B000011 S  
015 C010011 BRUSSELS  
015 D010011 TRANSNATIONAL  
015 E040011 X  
015 A000012 FORTIS BANK (NEDERLAND) N.V.  
015 B000012 S  
015 C010012 AMSTERDAM  
015 D010012 NETHERLANDS

Edgar Filing: NEW GERMANY FUND INC - Form NSAR-B

015 E040012 X  
015 A000013 HSBC BANK PLC, ATHENS  
015 B000013 S  
015 C010013 ATHENS  
015 D010013 GREECE  
PAGE 7  
015 E040013 X  
015 A000014 HSBC PLC, UNITED KINGDOM  
015 B000014 S  
015 C010014 LONDON  
015 D010014 UNITED KINGDOM  
015 E040014 X  
015 A000015 ING BELGIUM, S.A.  
015 B000015 S  
015 C010015 BRUSSELS  
015 D010015 BELGIUM  
015 E040015 X  
015 A000016 DANSKE BANK DENMARK  
015 B000016 S  
015 C010016 COPENHAGEN  
015 D010016 DENMARK  
015 E040016 X  
015 A000017 NORDEA BANK FINLAND  
015 B000017 S  
015 C010017 HELSINKI  
015 D010017 FINLAND  
015 E040017 X  
015 A000018 SEB  
015 B000018 S  
015 C010018 STOCKHOLM  
015 D010018 SWEDEN  
015 E040018 X  
015 A000019 UBS AG, SWITZERLAND  
015 B000019 S  
015 C010019 ZURICH  
015 D010019 SWITZERLAND  
015 E040019 X  
018 000000 Y  
019 A000000 Y  
019 B000000 129  
019 C000000 DWSFUNDSSS  
020 A000001 MORGAN STANLEY AND CO INTL LTD  
020 B000001 13-2655998  
020 C000001 83  
020 A000002 GOLDMAN SACHS  
020 B000002 13-5108880  
020 C000002 39  
020 A000003 EQUINET INSTITUTIONAL SERVICE  
020 B000003 13-3873456  
020 C000003 23  
020 A000004 BAYERISCHE HYPO VEREINSBANK  
020 B000004 00-0000000  
020 C000004 22  
020 A000005 WESTDEUTSCHE LANDESBANK GIROZ  
020 B000005 00-0000000  
020 C000005 17  
020 A000006 CREDIT SUISSE FIRST BOSTON  
PAGE 8  
020 B000006 13-5659485  
020 C000006 16  
020 A000007 MERRILL LYNCH  
020 B000007 13-5674085

Edgar Filing: NEW GERMANY FUND INC - Form NSAR-B

020 C000007 16  
020 A000008 JOHN BERENBERG, GOSSLER & CO.  
020 B000008 00-0000000  
020 C000008 16  
020 A000009 HSBC BANK PLC  
020 B000009 00-0000000  
020 C000009 14  
020 A000010 UBS AG  
020 B000010 00-0000000  
020 C000010 14  
021 000000 362  
022 A000001 METZLER SEEL, SOHN & CO.  
022 C000001 0  
022 D000001 437  
022 C000002 0  
022 D000002 0  
022 C000003 0  
022 D000003 0  
022 C000004 0  
022 D000004 0  
022 C000005 0  
022 D000005 0  
022 C000006 0  
022 D000006 0  
022 C000007 0  
022 D000007 0  
022 C000008 0  
022 D000008 0  
022 C000009 0  
022 D000009 0  
022 C000010 0  
022 D000010 0  
023 C000000 0  
023 D000000 437  
024 000000 Y  
025 A000001 DEUTSCHE POSTBANK  
025 C000001 E  
025 D000001 6892  
025 A000002 DEUTSCHE EUROSHOP  
025 C000002 E  
025 D000002 5227  
025 A000003 IVG IMMOBILIEN  
025 C000003 E  
025 D000003 647  
025 A000004 DELETE  
025 D000004 0  
025 A000005 DELETE  
PAGE 9  
025 D000005 0  
025 A000006 DELETE  
025 D000006 0  
025 D000007 0  
025 D000008 0  
026 A000000 N  
026 B000000 Y  
026 C000000 N  
026 D000000 Y  
026 E000000 N  
026 F000000 N  
026 G010000 N  
026 G020000 N  
026 H000000 N

Edgar Filing: NEW GERMANY FUND INC - Form NSAR-B

027	000000	N	
028	A010000		0
028	A020000		0
028	A030000		0
028	A040000		0
028	B010000		0
028	B020000		0
028	B030000		0
028	B040000		0
028	C010000		0
028	C020000		0
028	C030000		0
028	C040000		0
028	D010000		0
028	D020000		0
028	D030000		0
028	D040000		0
028	E010000		0
028	E020000		0
028	E030000		0
028	E040000		0
028	F010000		0
028	F020000		0
028	F030000		0
028	F040000		0
028	G010000		0
028	G020000		0
028	G030000		0
028	G040000		0
028	H000000		0
030	A000000		0
030	B000000	0.00	
030	C000000	0.00	
031	A000000		0
031	B000000		0
032	000000		0
033	000000		0
	PAGE	10	
035	000000		0
036	B000000		0
038	000000		0
042	A000000		0
042	B000000		0
042	C000000		0
042	D000000		0
042	E000000		0
042	F000000		0
042	G000000		0
042	H000000		0
043	000000		0
044	000000		0
045	000000	Y	
046	000000	Y	
047	000000	Y	
048	000000	0.000	
048	A010000	100000	
048	A020000	1.000	
048	B010000	400000	
048	B020000	0.800	
048	C010000		0
048	C020000	0.000	
048	D010000		0



Edgar Filing: NEW GERMANY FUND INC - Form NSAR-B

048 D020000 0.000  
 048 E010000 0  
 048 E020000 0.000  
 048 F010000 0  
 048 F020000 0.000  
 048 G010000 0  
 048 G020000 0.000  
 048 H010000 0  
 048 H020000 0.000  
 048 I010000 0  
 048 I020000 0.000  
 048 J010000 0  
 048 J020000 0.000  
 048 K010000 500000  
 048 K020000 0.750  
 049 000000 N  
 050 000000 N  
 051 000000 N  
 052 000000 N  
 053 A000000 N  
 054 A000000 Y  
 054 B000000 Y  
 054 C000000 N  
 054 D000000 N  
 054 E000000 N  
 054 F000000 N  
 054 G000000 N  
 PAGE 11  
 054 H000000 Y  
 054 I000000 N  
 054 J000000 Y  
 054 K000000 N  
 054 L000000 N  
 054 M000000 Y  
 054 N000000 N  
 054 O000000 N  
 055 A000000 Y  
 055 B000000 N  
 056 000000 Y  
 057 000000 N  
 058 A000000 N  
 059 000000 Y  
 060 A000000 Y  
 060 B000000 Y  
 061 000000 0  
 062 A000000 N  
 062 B000000 0.0  
 062 C000000 0.0  
 062 D000000 0.0  
 062 E000000 0.0  
 062 F000000 0.0  
 062 G000000 0.0  
 062 H000000 0.0  
 062 I000000 0.0  
 062 J000000 0.0  
 062 K000000 0.0  
 062 L000000 0.0  
 062 M000000 0.0  
 062 N000000 0.0  
 062 O000000 0.0  
 062 P000000 0.0  
 062 Q000000 0.0

Edgar Filing: NEW GERMANY FUND INC - Form NSAR-B

062	R000000	0.0
063	A000000	0
063	B000000	0.0
066	A000000	Y
066	B000000	N
066	C000000	Y
066	D000000	N
066	E000000	N
066	F000000	N
066	G000000	N
067	000000	N
068	A000000	N
068	B000000	Y
069	000000	N
070	A010000	Y
070	A020000	N
070	B010000	Y
	PAGE	12
070	B020000	N
070	C010000	Y
070	C020000	N
070	D010000	Y
070	D020000	N
070	E010000	Y
070	E020000	N
070	F010000	Y
070	F020000	N
070	G010000	N
070	G020000	N
070	H010000	N
070	H020000	N
070	I010000	Y
070	I020000	N
070	J010000	Y
070	J020000	N
070	K010000	Y
070	K020000	N
070	L010000	Y
070	L020000	Y
070	M010000	Y
070	M020000	Y
070	N010000	Y
070	N020000	Y
070	O010000	Y
070	O020000	N
070	P010000	Y
070	P020000	N
070	Q010000	N
070	Q020000	N
070	R010000	N
070	R020000	N
071	A000000	89540
071	B000000	98475
071	C000000	212469
071	D000000	42
072	A000000	12
072	B000000	17
072	C000000	4821
072	D000000	0
072	E000000	1053
072	F000000	1953
072	G000000	0

Edgar Filing: NEW GERMANY FUND INC - Form NSAR-B

072 H000000	0
072 I000000	37
072 J000000	98
072 K000000	0
072 L000000	21
072 M000000	184
072 N000000	24

PAGE 13

072 O000000	0
072 P000000	0
072 Q000000	0
072 R000000	65
072 S000000	180
072 T000000	0
072 U000000	0
072 V000000	0
072 W000000	48
072 X000000	2610
072 Y000000	0
072 Z000000	3281
072AA000000	0
072BB000000	3396
072CC010000	84298
072CC020000	0
072DD010000	3338
072DD020000	0
072EE000000	0
073 A010000	0.1780
073 A020000	0.0000
073 B000000	0.0000
073 C000000	0.0000
074 A000000	8103
074 B000000	0
074 C000000	23410
074 D000000	0
074 E000000	4030
074 F000000	262275
074 G000000	0
074 H000000	0
074 I000000	0
074 J000000	0
074 K000000	0
074 L000000	127
074 M000000	38
074 N000000	297983
074 O000000	0
074 P000000	208
074 Q000000	0
074 R010000	0
074 R020000	0
074 R030000	0
074 R040000	26430
074 S000000	0
074 T000000	271345
074 U010000	18735
074 U020000	0
074 V010000	14.48
074 V020000	0.00
074 W000000	0.0000

PAGE 14

074 X000000	1205
074 Y000000	0

Edgar Filing: NEW GERMANY FUND INC - Form NSAR-B

075 A000000 0  
075 B000000 218977  
076 000000 11.99  
077 A000000 Y  
077 B000000 Y  
077 C000000 N  
077 D000000 N  
077 E000000 N  
077 F000000 N  
077 G000000 N  
077 H000000 N  
077 I000000 N  
077 J000000 N  
077 K000000 N  
077 L000000 N  
077 M000000 N  
077 N000000 N  
077 O000000 N  
077 P000000 N  
077 Q010000 Y  
077 Q020000 Y  
077 Q030000 N  
078 000000 N  
080 A000000 NAT'L UNION FIRE INS. CO. OF PITTSBURGH, PA  
080 C000000 2175  
081 A000000 Y  
081 B000000 2  
082 A000000 Y  
082 B000000 25  
083 A000000 N  
083 B000000 0  
084 A000000 N  
084 B000000 0  
085 A000000 Y  
085 B000000 N  
086 A010000 0  
086 A020000 0  
086 B010000 830  
086 B020000 7764  
086 C010000 0  
086 C020000 0  
086 D010000 0  
086 D020000 0  
086 E010000 0  
086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 COMMON STOCK  
087 A020000 644465106  
PAGE 15  
087 A030000 GF  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 Y  
SIGNATURE PAUL SCHUBERT  
TITLE CFO AND TREASURER